

Order parameter spaces, homotopy theory & classification of defects in LCs

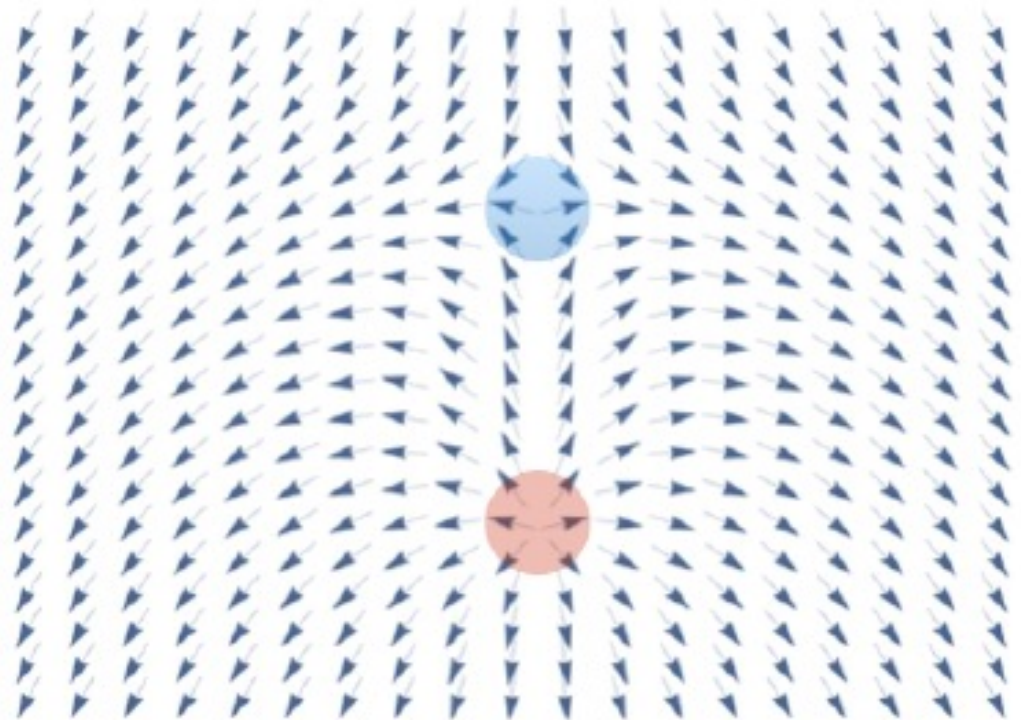
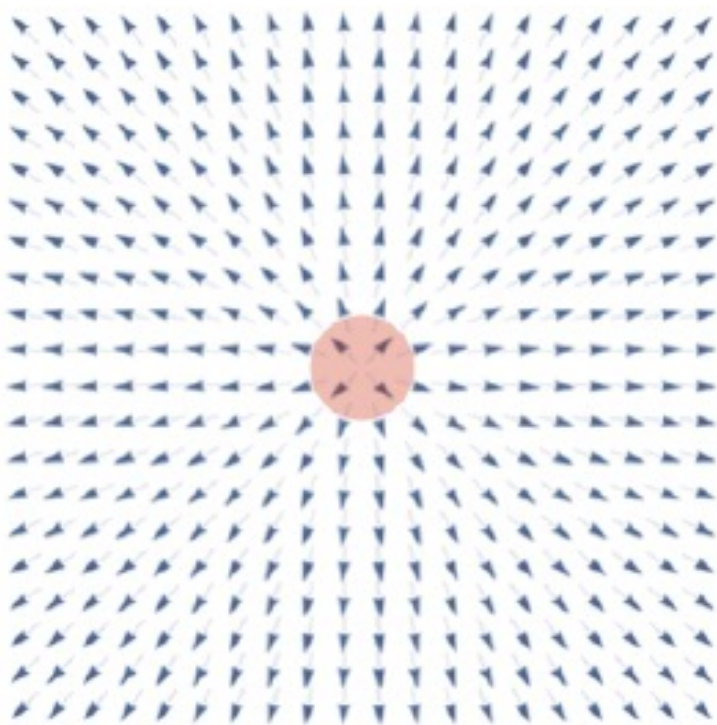
Ivan I. Smalyukh

CU-Boulder & SKCM²

Tutorial, Part 2

Topological defect mediated phase transitions

- Kosterlitz & Thouless Nobel Prize
- Un-binding of defects by thermal energy
- A different type of phase transition!



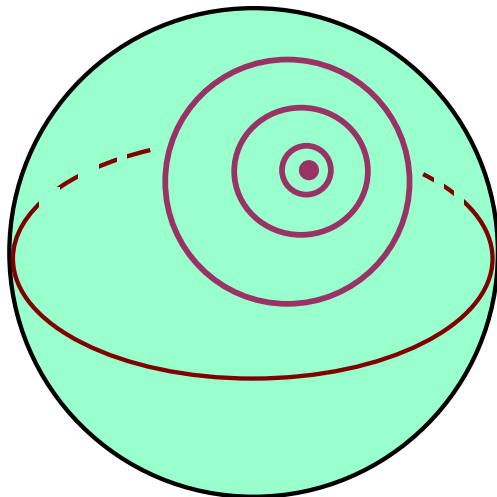
Poincare Theorem

Every closed simply connected (no holes)
2D surface is homeomorphic to a sphere

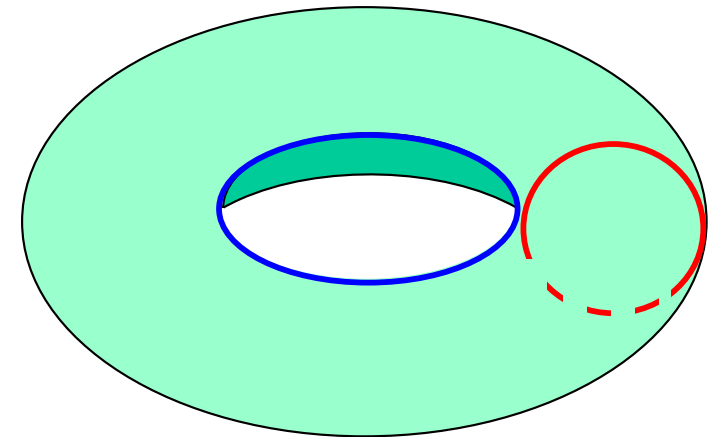


J. Henri Poincaré (1854–1912)

Simply connected surfaces
(no holes)



Not simply connected



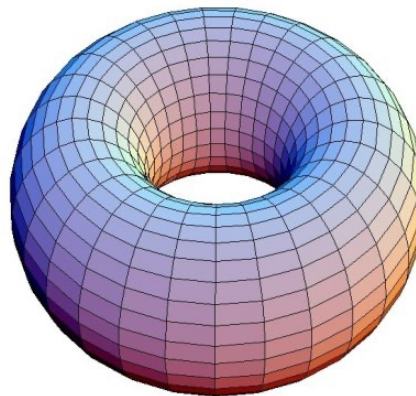
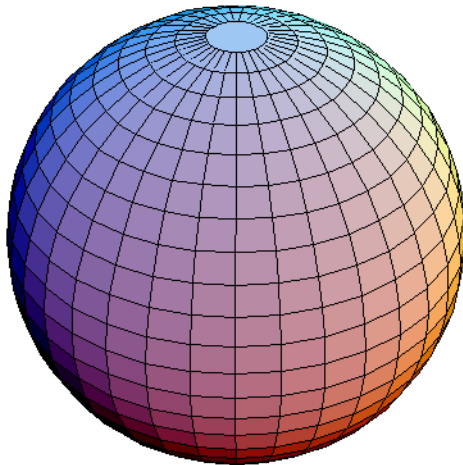
Goals & Motivation

- field theories (1D-3D ferromagnets, ferroelectrics, LCs; scalar, vector & tensorial fields...)
- Can the ground state or metastable solutions in field theories have different topology?
- Distinct topologically – cannot be morphed one to another
- Examples of surfaces – same true for fields

homeomorphic



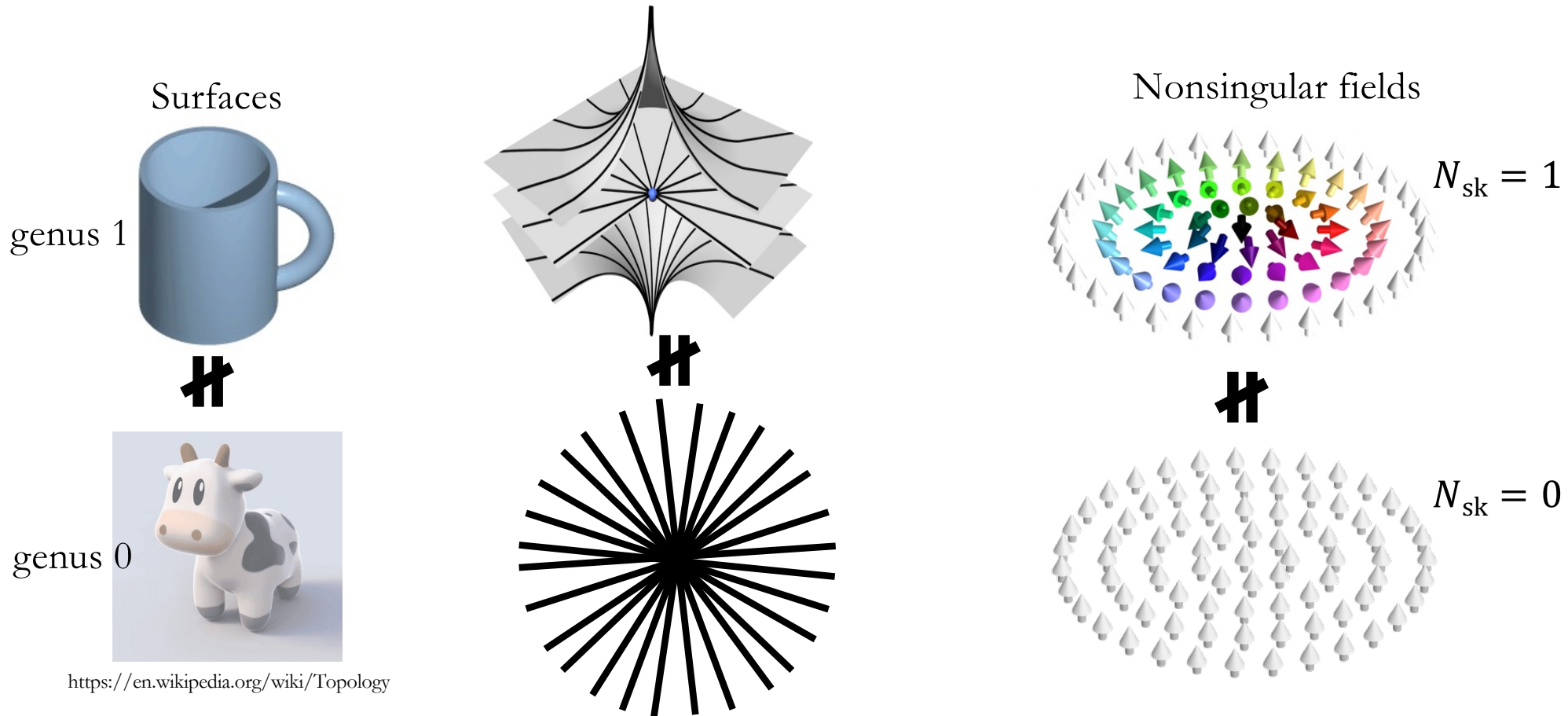
But,



Fields we cannot morph smoothly one to another?

Topology & topological soliton

- Topology – properties preserved under **continuous** deformations.

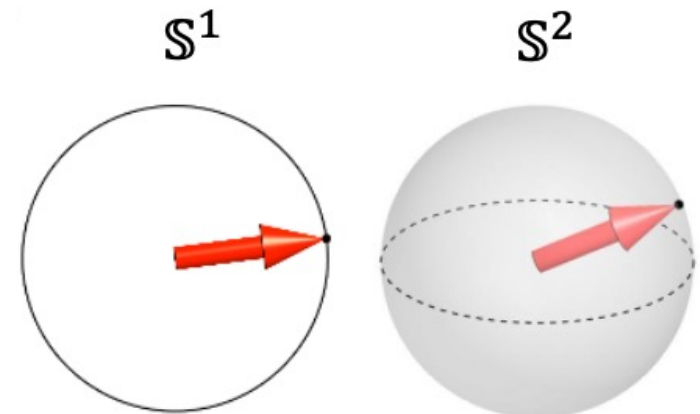
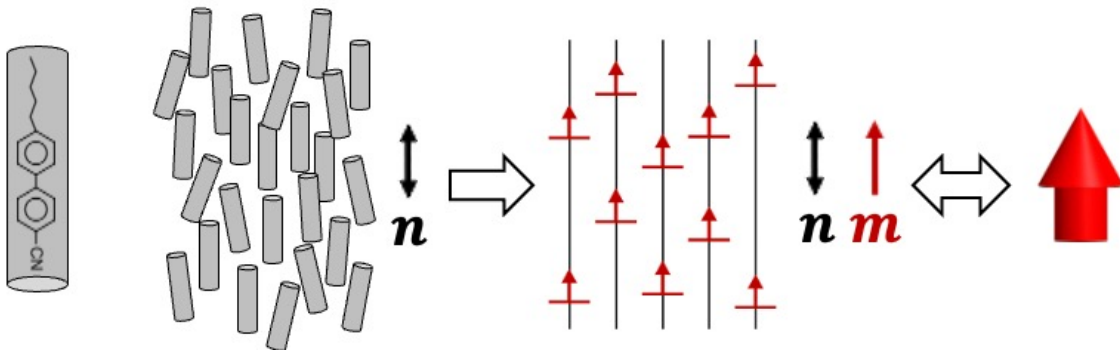
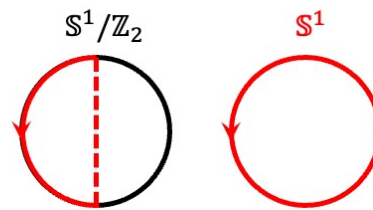


Sphere-sphere maps are well understood

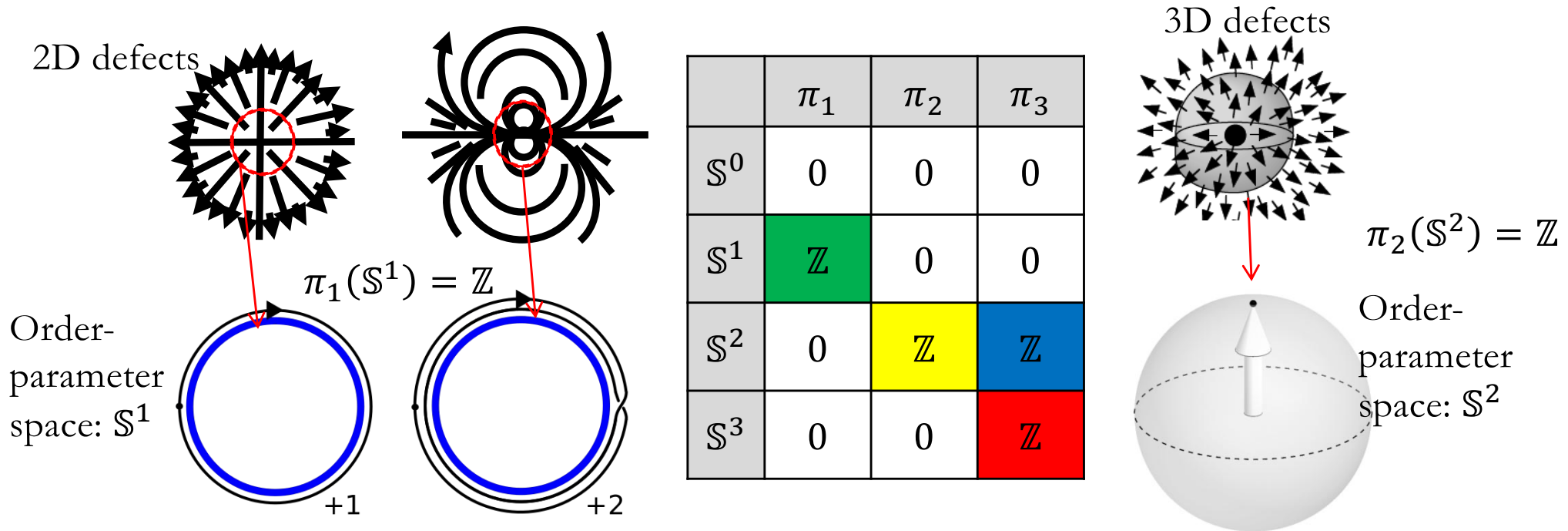
	π_1	π_2	π_3	π_4	π_5
S^0	0	0	0	0	0
S^1	\mathbb{Z}	0	0	0	0
S^2	0	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^3	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^4	0	0	0	\mathbb{Z}	\mathbb{Z}_2
S^5	0	0	0	0	\mathbb{Z}

two maps connected by continuous path are said to be homotopic

- Spheres as OP Spaces and defect-surrounding surfaces
- i -th homotopy group $\pi_i(S^n)$ – ways the i -dimensional sphere S^i can be mapped into n -dimensional sphere S^n



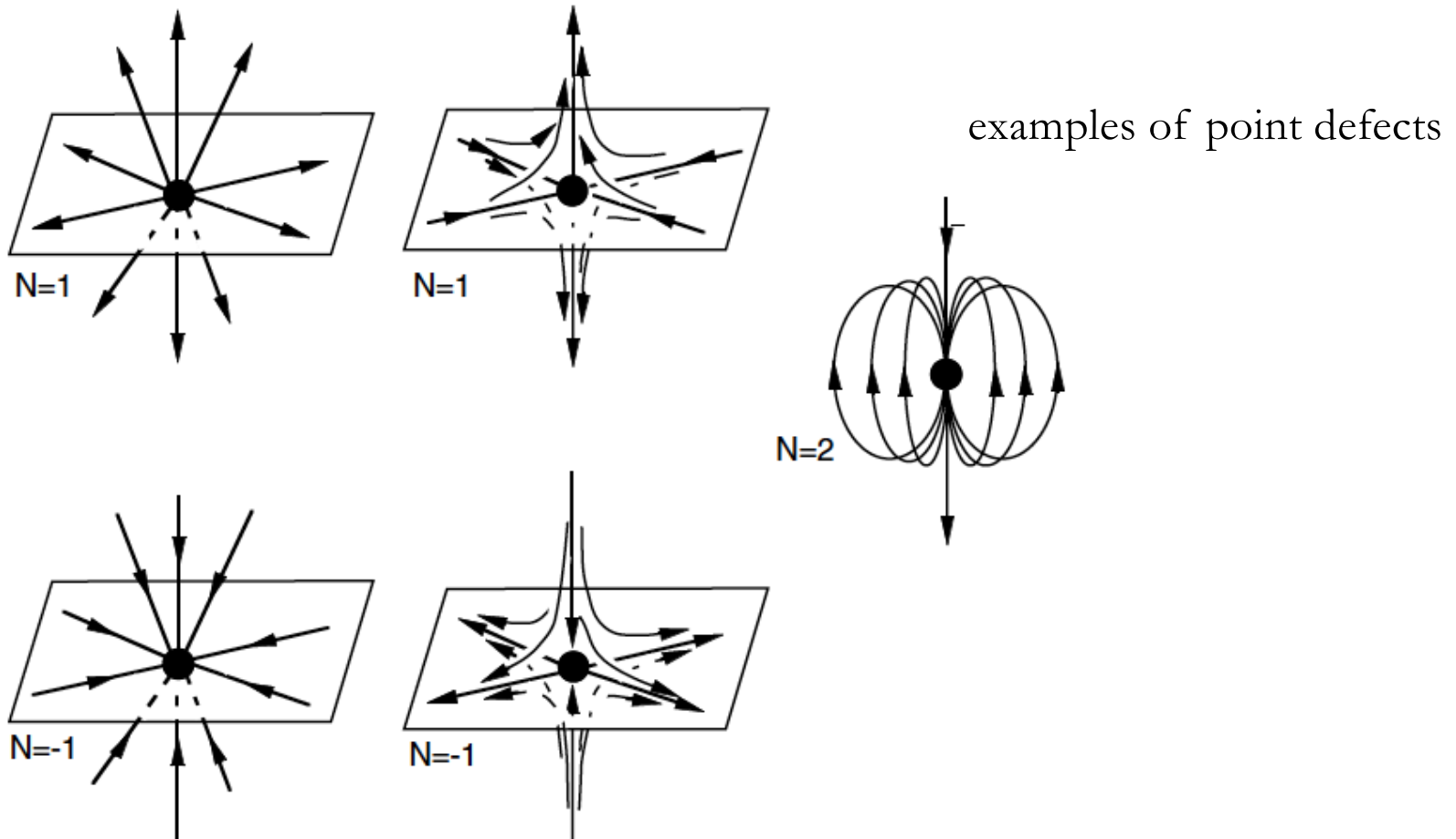
Homotopy theory (sphere-sphere maps) of singular defects



- Spheres as order-parameter Spaces and defect-surrounding surfaces
- i -th homotopy group $\pi_i(S^n)$ – ways the field on S^i can be mapped to S^n

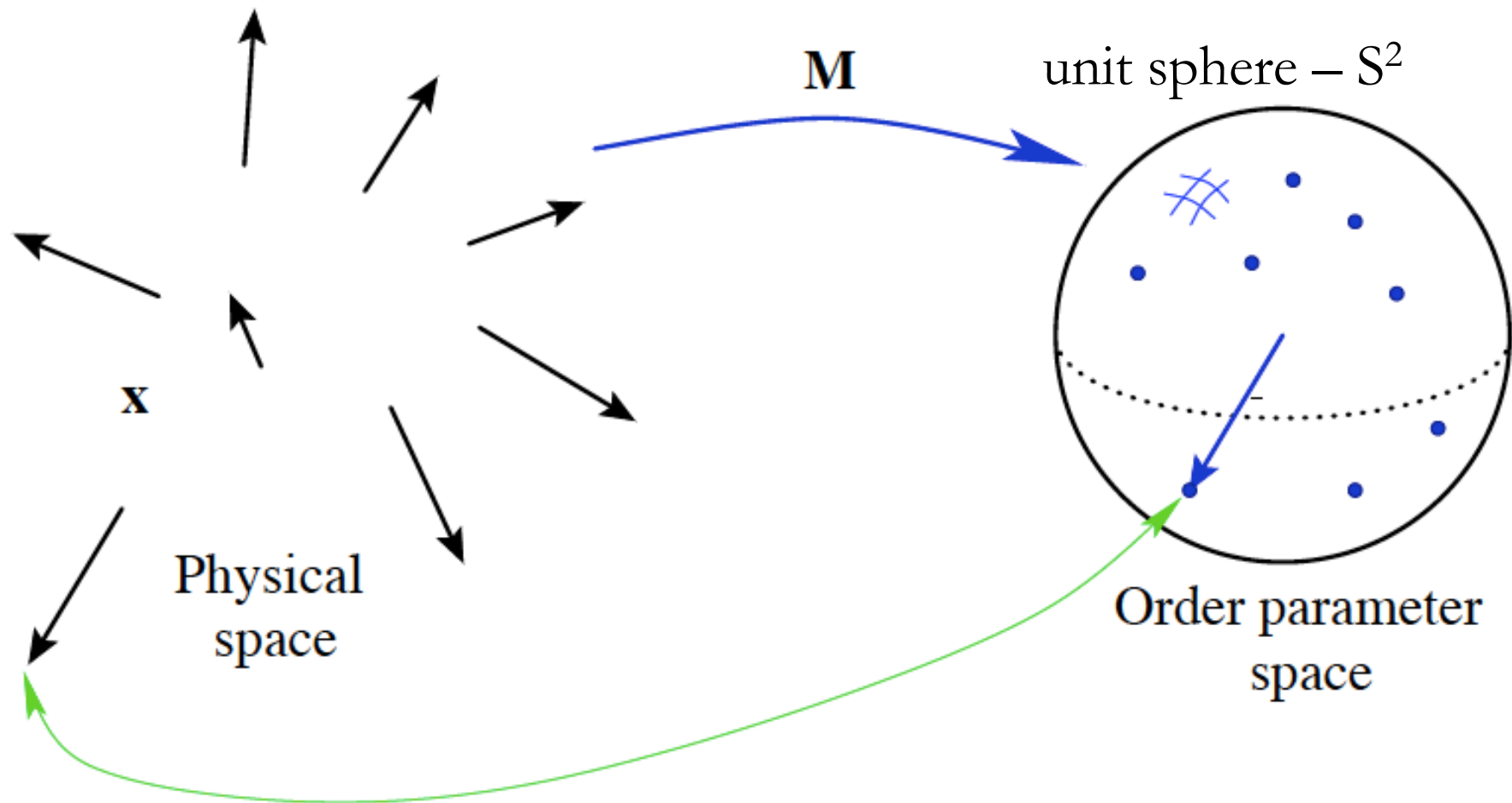
Procedure

- Identify the ground state manifold (degeneracy of order parameter) – the order parameter space
- Map the order parameter from the sample to the OP space
- Identify topology of the OP maps
- Topologically similar maps - homotopic



Order Parameter Spaces (ground state manifolds)

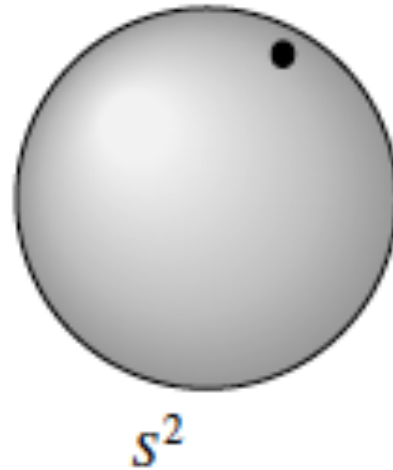
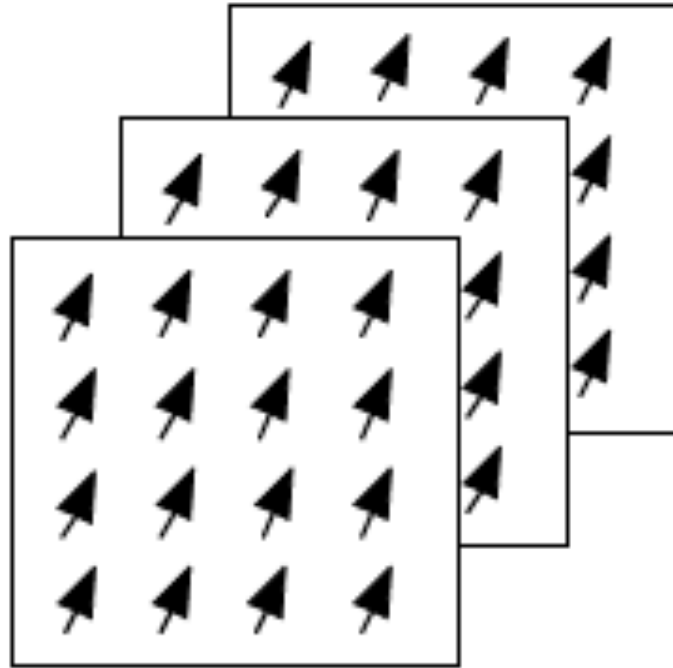
- 3D ferromagnet as an example – Magnetization \mathbf{M} ;
- Maps from real to order parameter space – space of all allowed values of unit vector $\mathbf{m}=\mathbf{M}/M$



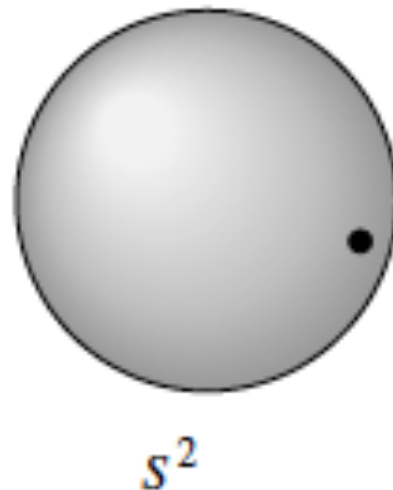
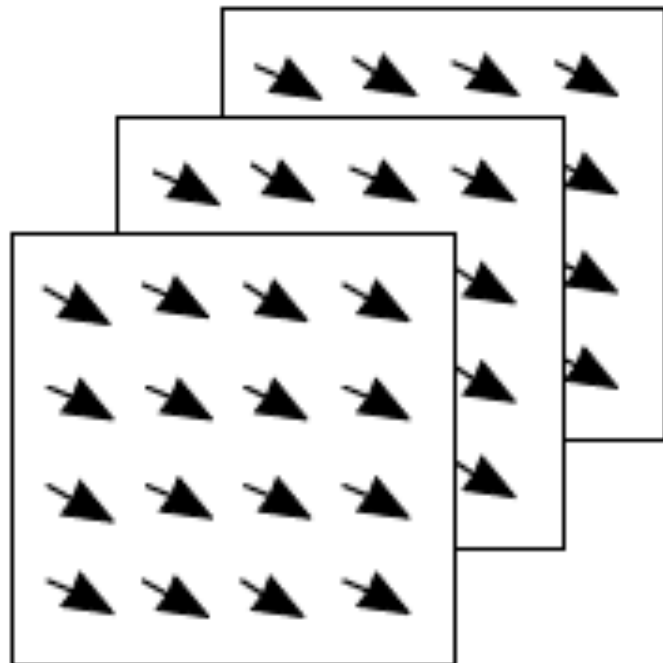
How about 3D ferroelectrics?

Also S^2

Order Parameter Space: 3D Ferromagnet



Different directions of the magnetization vector \mathbf{M} correspond to the different points on the order parameter space S^2



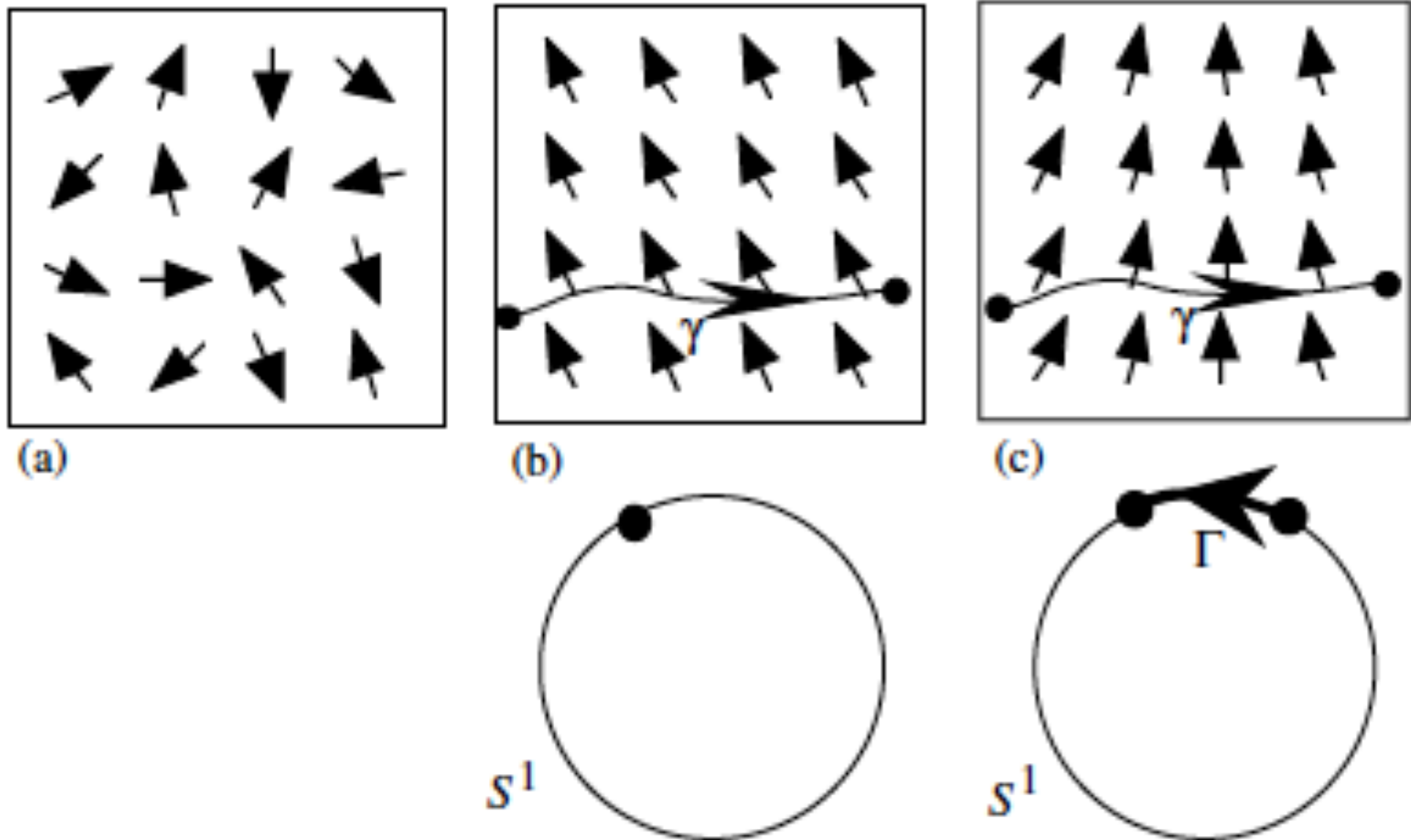
1D, 2D Ferromagnets & Ferroelectrics

- Imagine a ferromagnetic or ferroelectric solids for which \mathbf{M} or \mathbf{P} are confined to a 2D plane (only in-plane orientations).
- What is the OP space?
 S^1 – a 1D sphere (circle)
- Ising ferromagnet (up-down \mathbf{M}) – what is the OP space?

S^0 – a 0D sphere (2 points equidistant from center) –
Note that the dimension refers to the location of points equidistant from the center of origin

Another example: order parameter space for superconductors & superfluids is a circle S^1

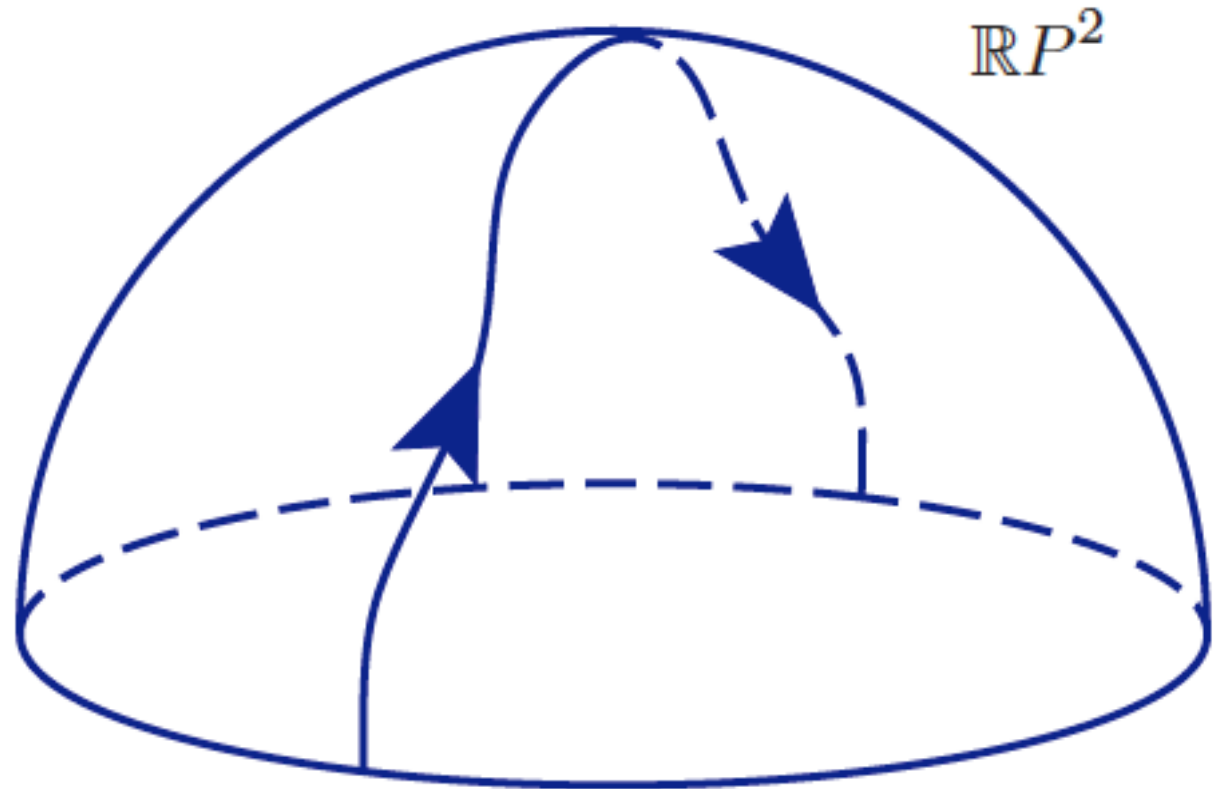
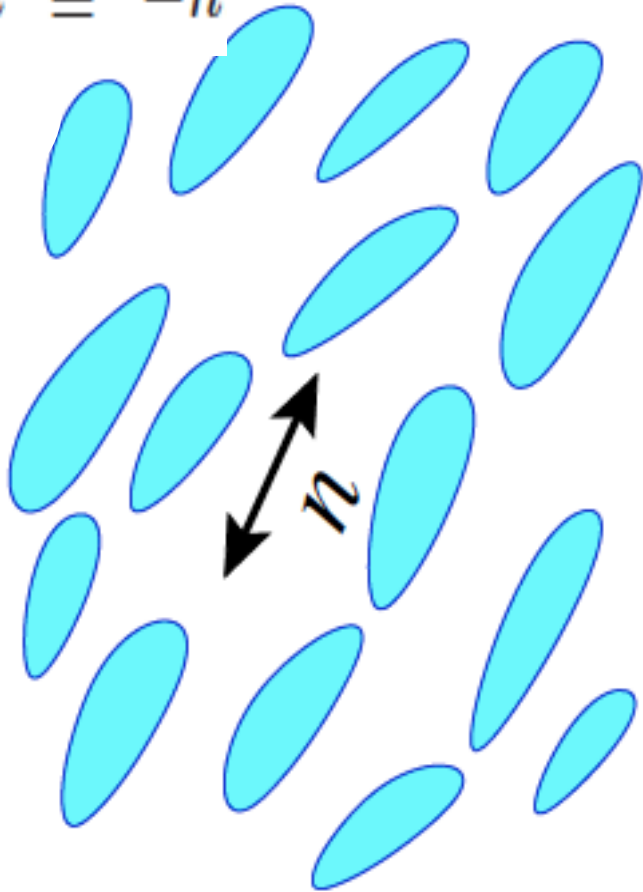
Order Parameter Space: 2D Ferromagnet



The path γ in the ferromagnet is mapped into a single point in the order parameter space S^1 , when the state is uniform and into a path when the state is nonuniform (topologically trivial here).

Nematic LCs with orientational order only

$$\hat{n} \equiv -\hat{n}$$

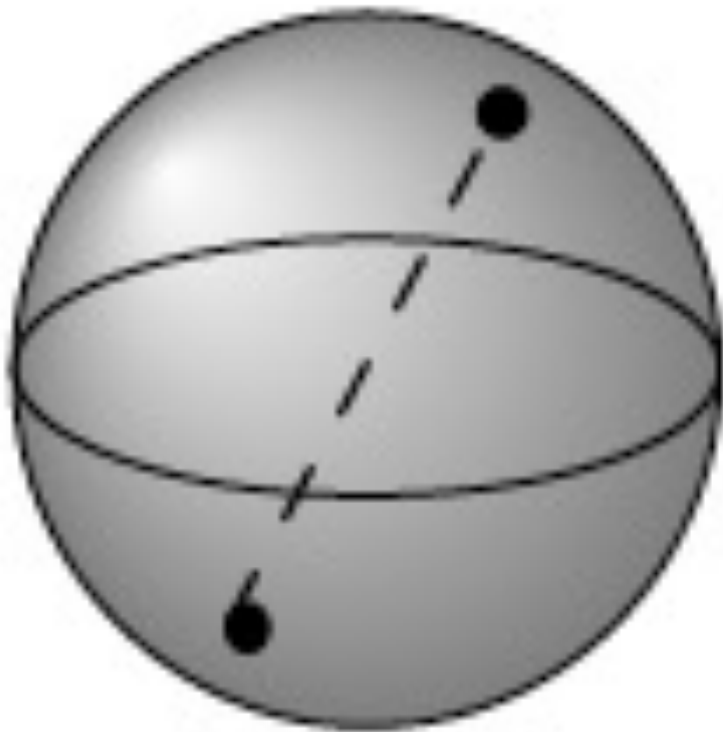


- Nonpolar director – opposite orientations cannot be distinguished
- OP space is a sphere with diametrically opposite points identified (S^2/Z_2), topologically equivalent to the projective plane ($\mathbf{R}P^2$)

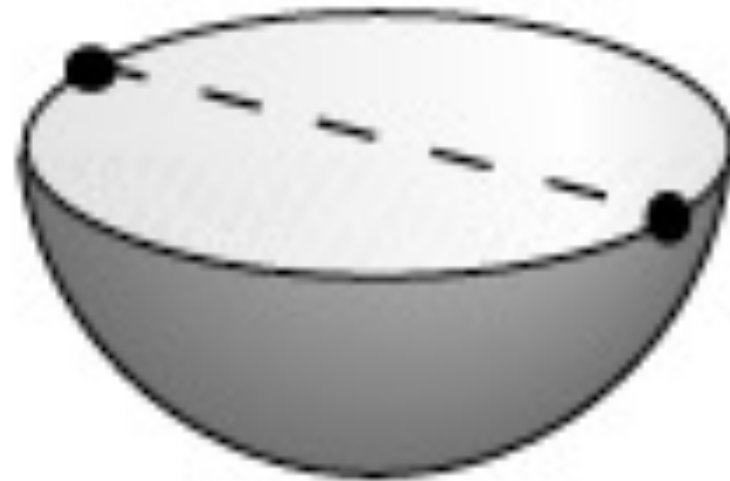
Order parameter space for the uniaxial nematic

The S^2 sphere is twice too large, because each realization of the nematic phase is represented by two opposite points; the sphere with pairs of antipodal points identified is noted S^2/Z_2

$$S^2 / Z_2$$

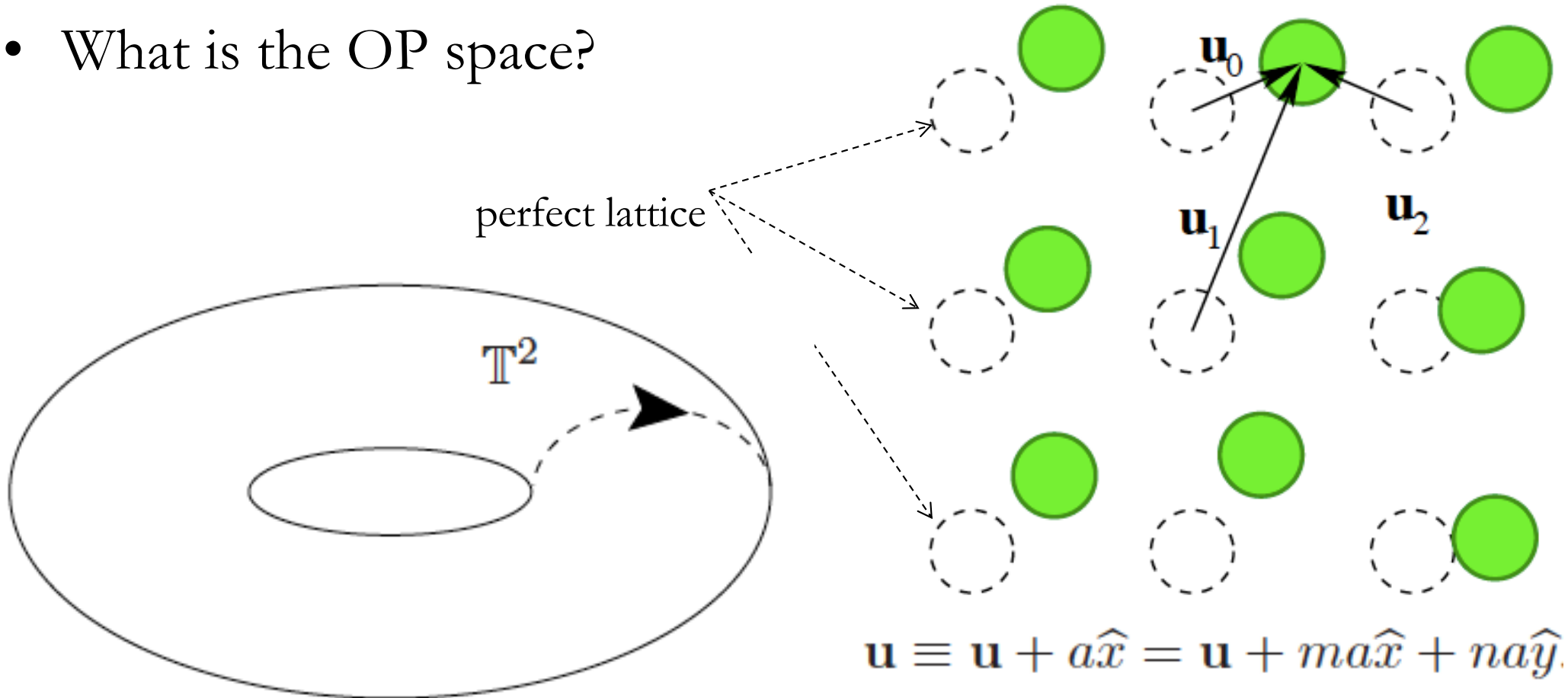


Half-sphere and opposite points identified (also topology of a projective plane RP^2):



Crystals with translational order

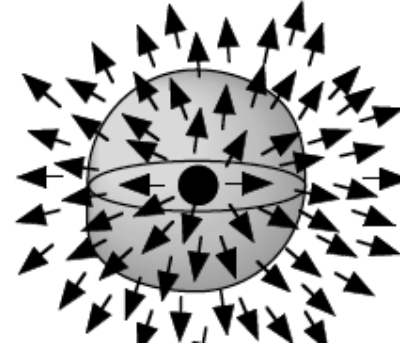
- A crystal – OP is a displacement \mathbf{u}
- What is the OP space?



- OP at a point is that translation which aligns a perfect square grid to the deformed grid at that point
- OP space is a torus- square with periodic boundary conditions

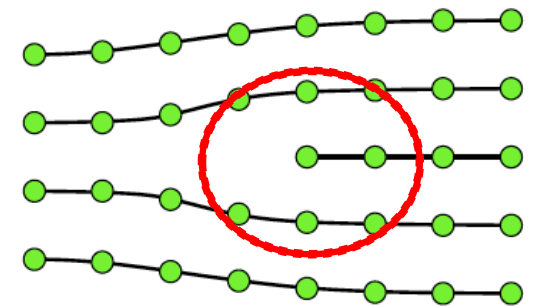
Singular Defects: classification based on order discontinuity & topology

- Point defects



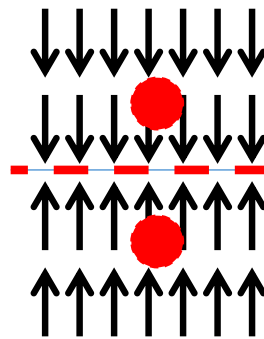
surround by S^2

- Line Defects (disclinations, dislocations), e.g the dislocation perpendicular to screen



surround by S^1

- Wall defects;

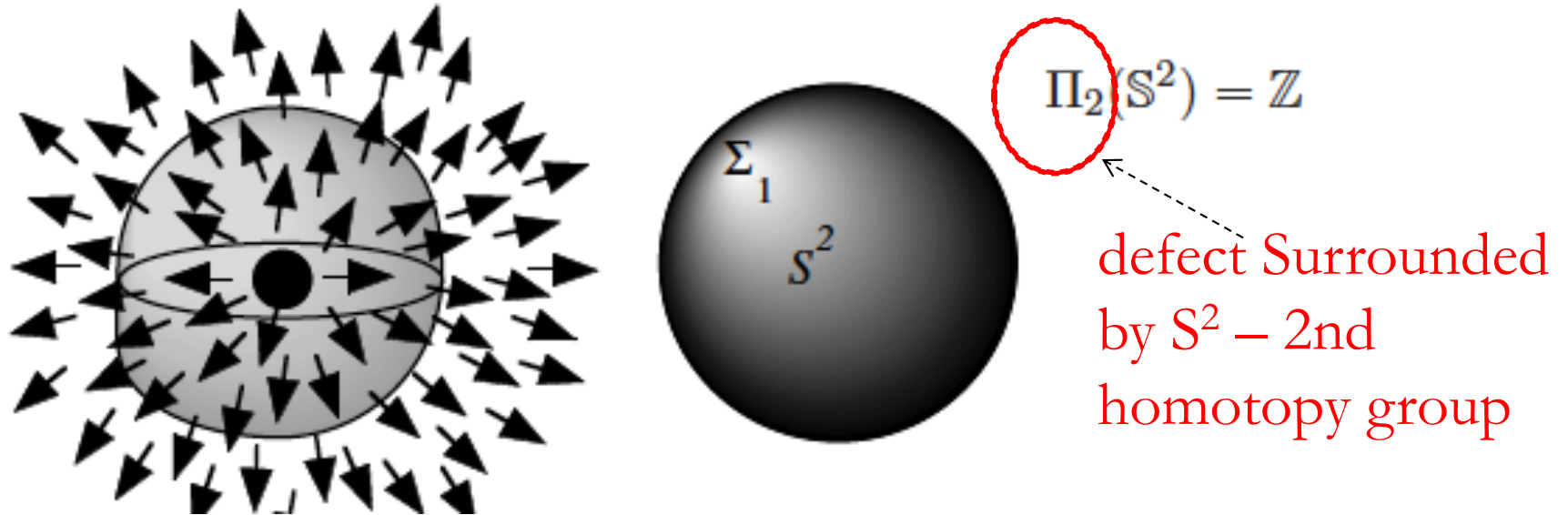


surround by S^0

- Order not defined on a point, along a line, or a wall

What happens with the OP around the defect?

Homotopy theory: analyze defects based on maps



- Example – a point defect in a ferromagnet
- map from S^2 surrounding it to the S^2 OP space
- Labeled $\Pi_2(S^2) = \mathbb{Z}$ or $\pi_2(S^2) = \mathbb{Z}$
- Meaning – can cover OP sphere integer number of times ($\mathbb{Z}=1$ in this case, but can be other integers)

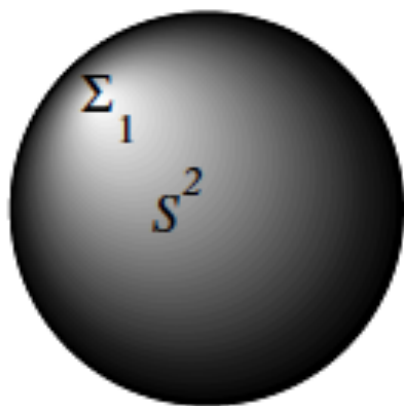
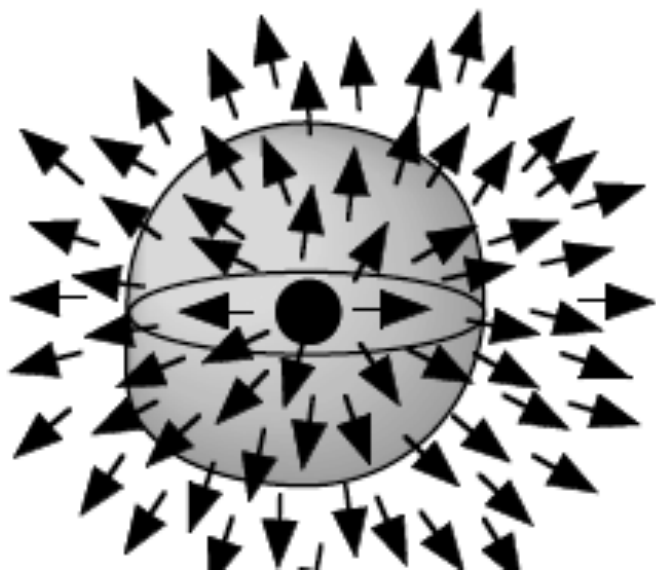
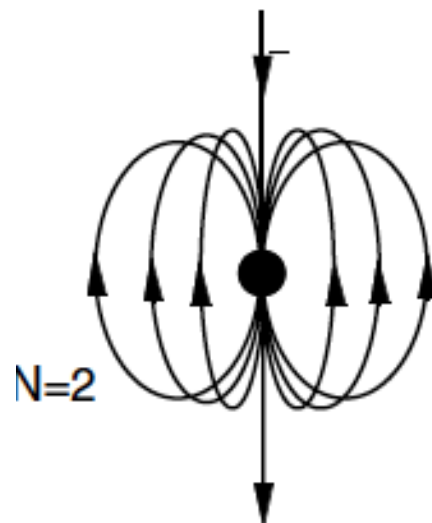
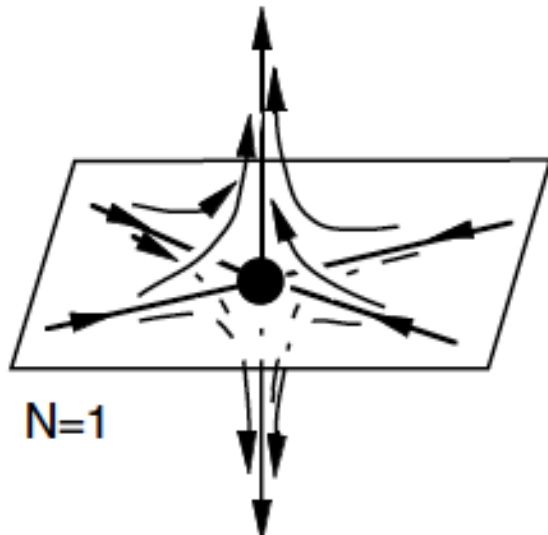
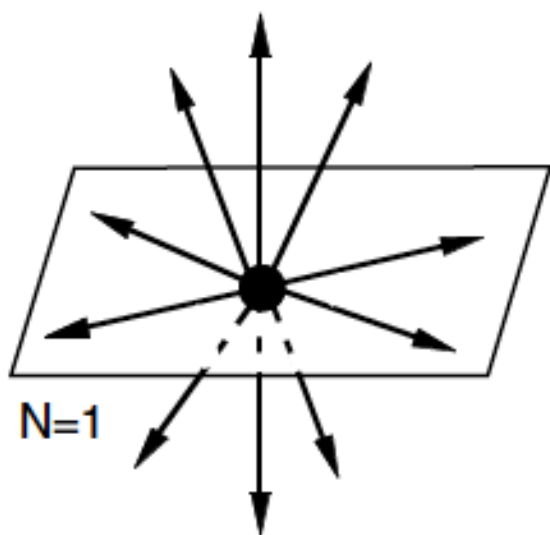
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two maps connected by continuous path are said to be homotopic

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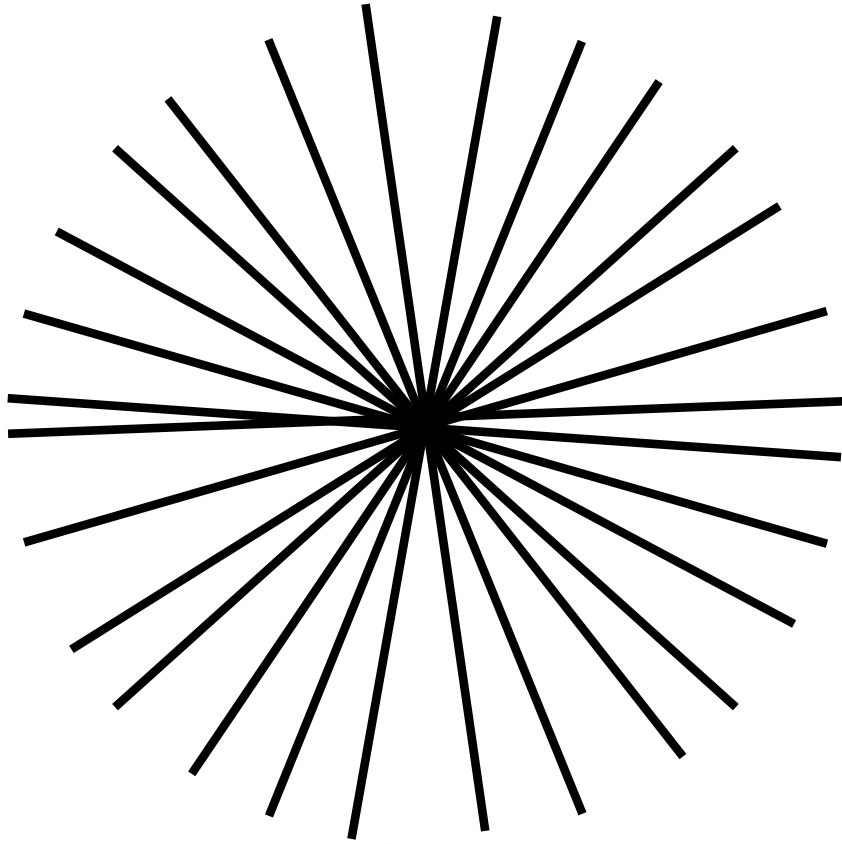
Point defects in a 3D vector field



How many times they cover the S^2 order parameter space?

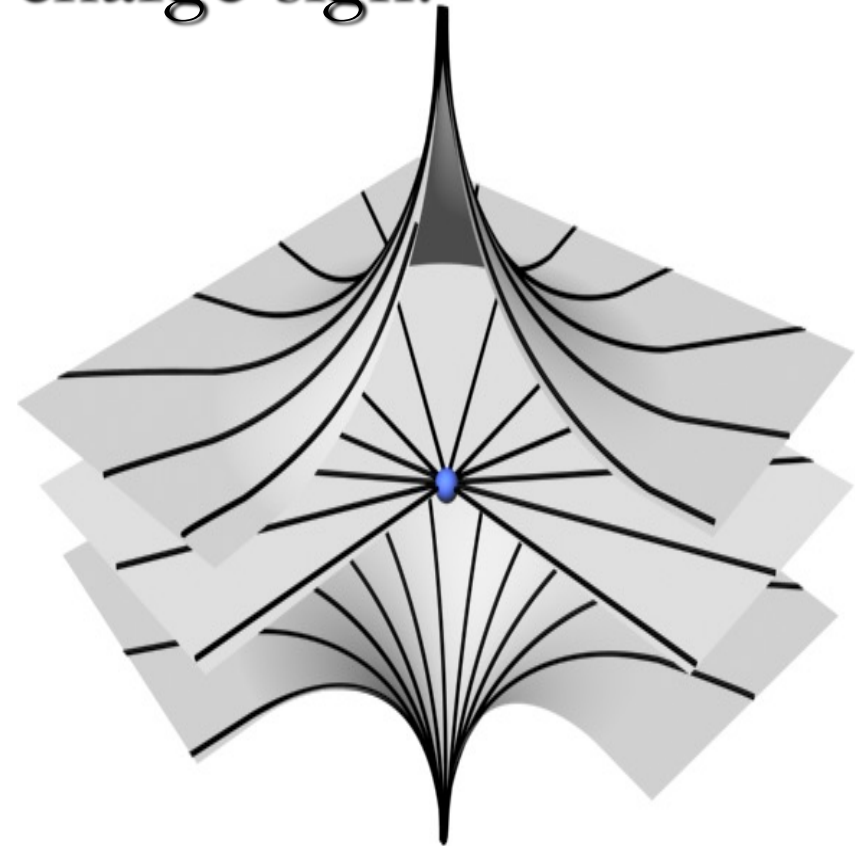
Point Defects

- Nonpolar director field;
- Conventional assignment of charge sign:



$$\mathbf{n} = (x, y, z) / \sqrt{x^2 + y^2 + z^2}$$

■ Hedgehog



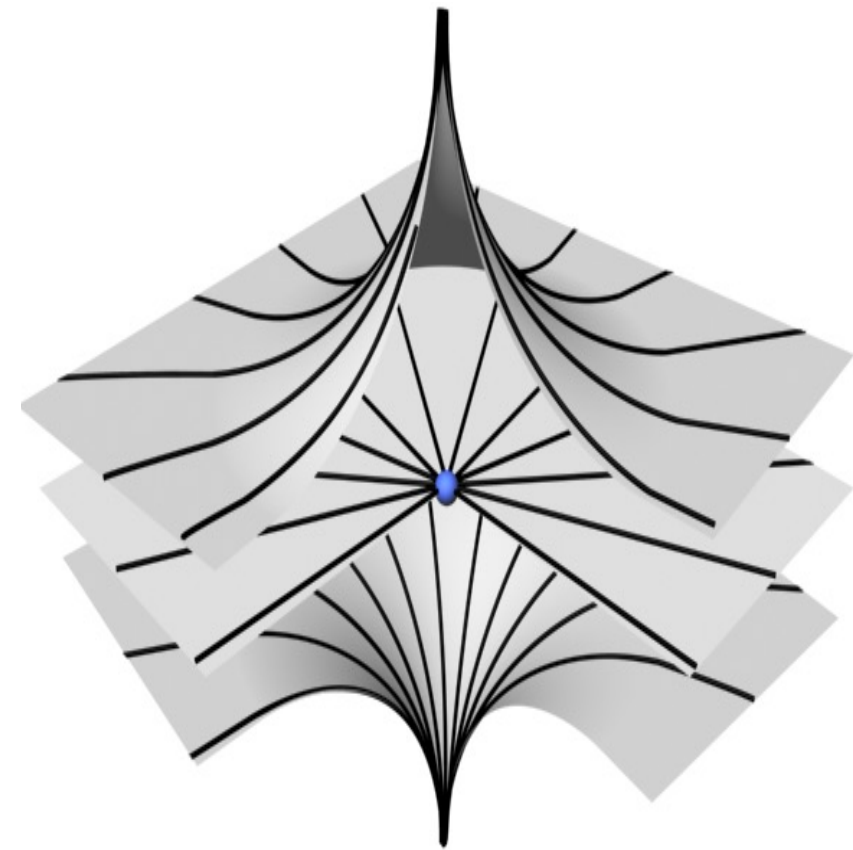
$$\mathbf{n} = (-x, -y, z) / \sqrt{x^2 + y^2 + z^2}$$

■ Hyperbolic Hedgehog

- How do we find topological charge of a point defect?

Point Defects

- How do we find topological charge of a point defect?



*Charge – number of times
the director around the
defect covers the OPS*

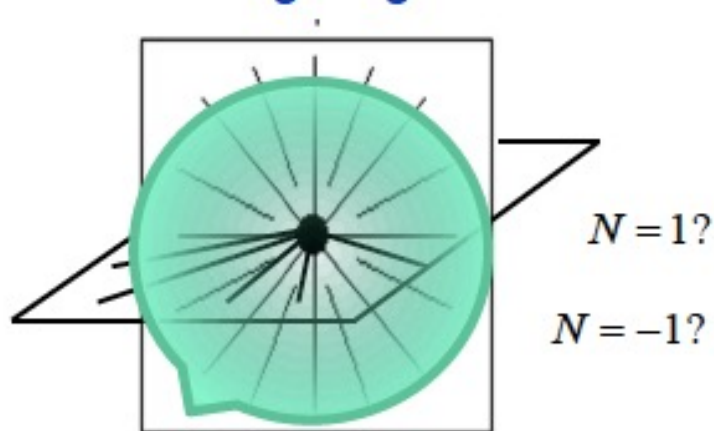
- Hedgehog

Point Defects

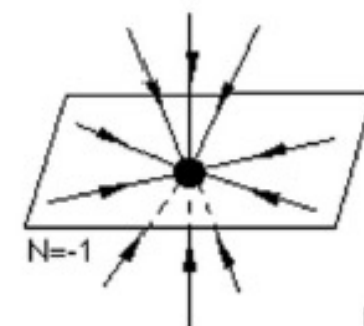
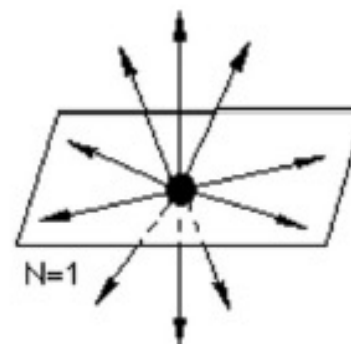
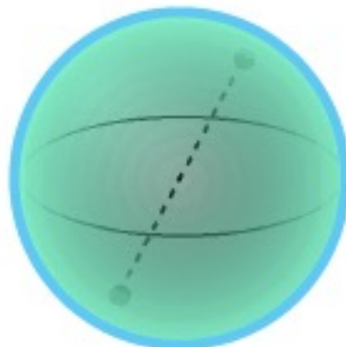
Hedgehogs (point defects) in uniaxial N

$$\pi_2(S^2 / Z_2) = N = 0, \pm 1, \pm 2, \dots$$

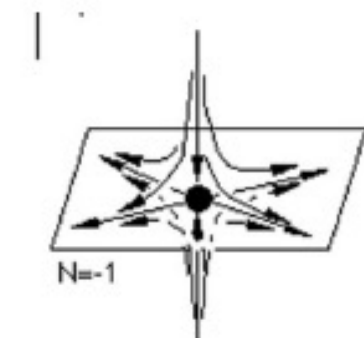
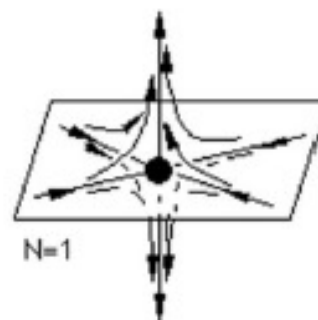
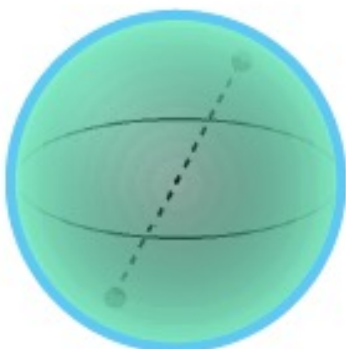
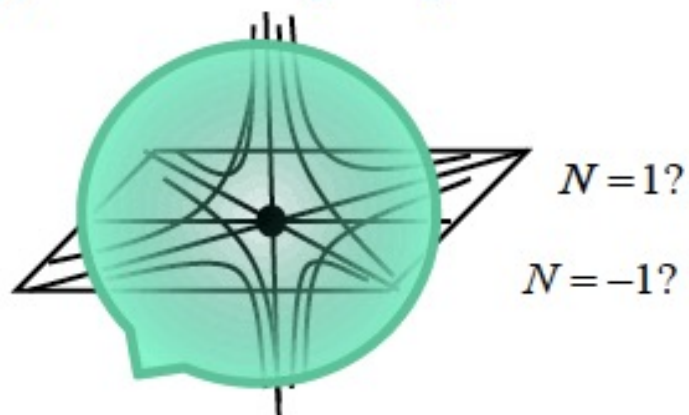
Radial hedgehog



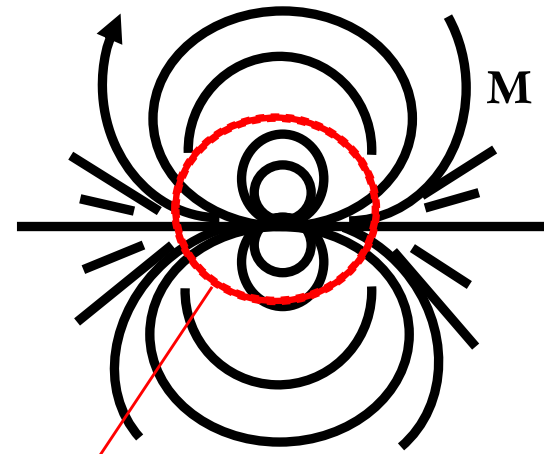
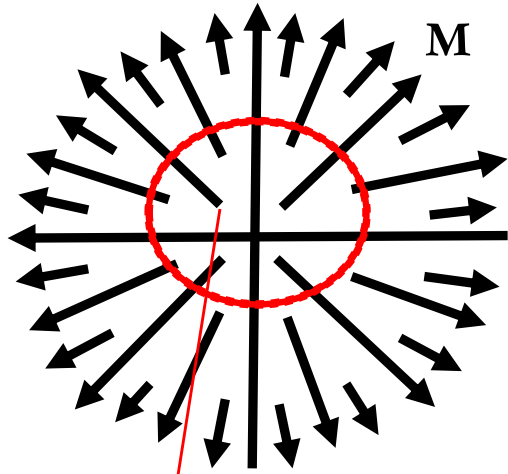
S^2 / Z_2



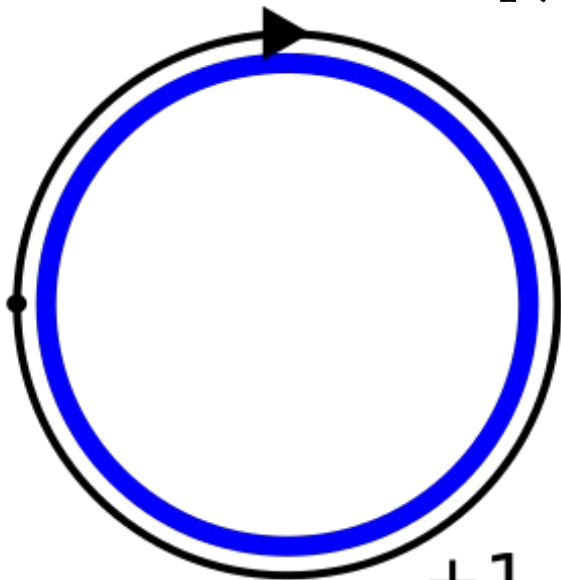
Hyperbolic hedgehog



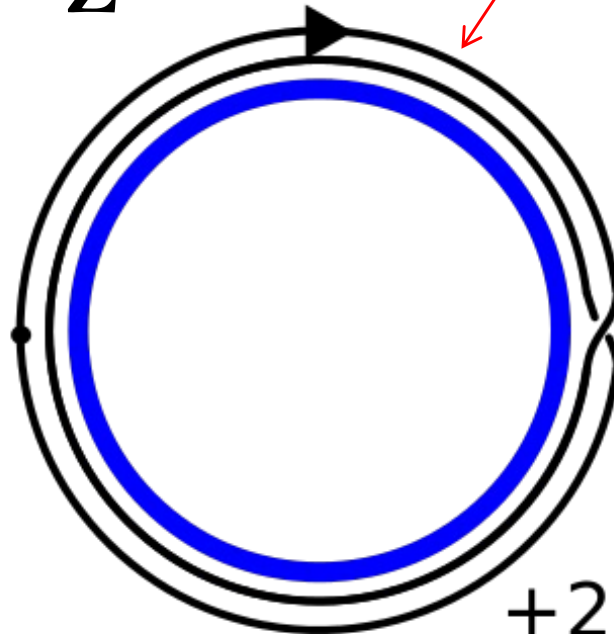
Defects in 2D ferromagnet: $\pi_1(S^1)=\mathbb{Z}$



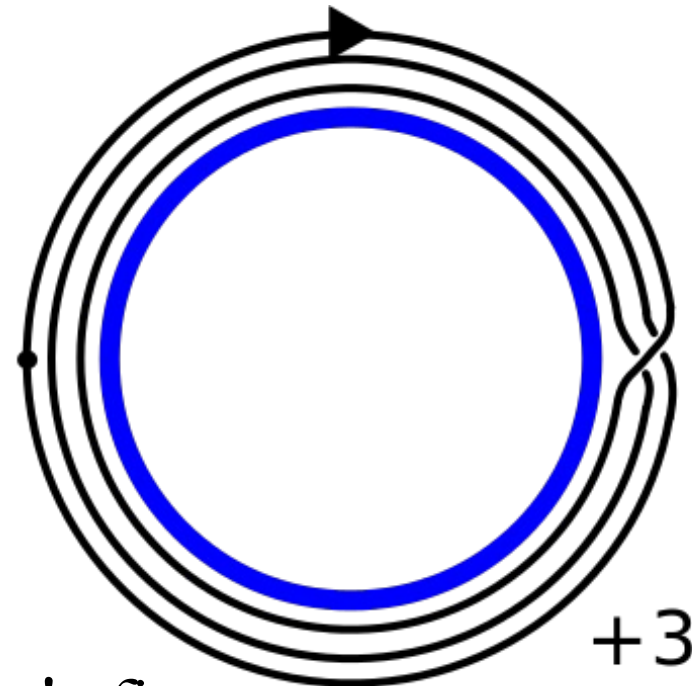
$$\pi_1(S^1) = \mathbb{Z}$$



+1



+2

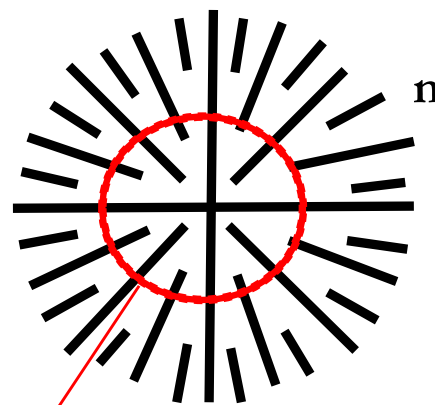
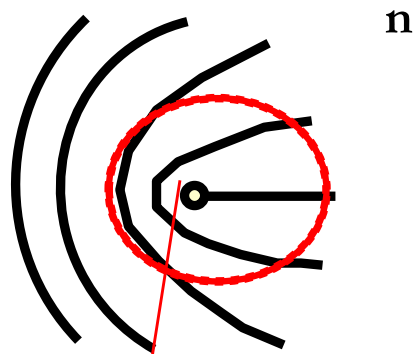


+3

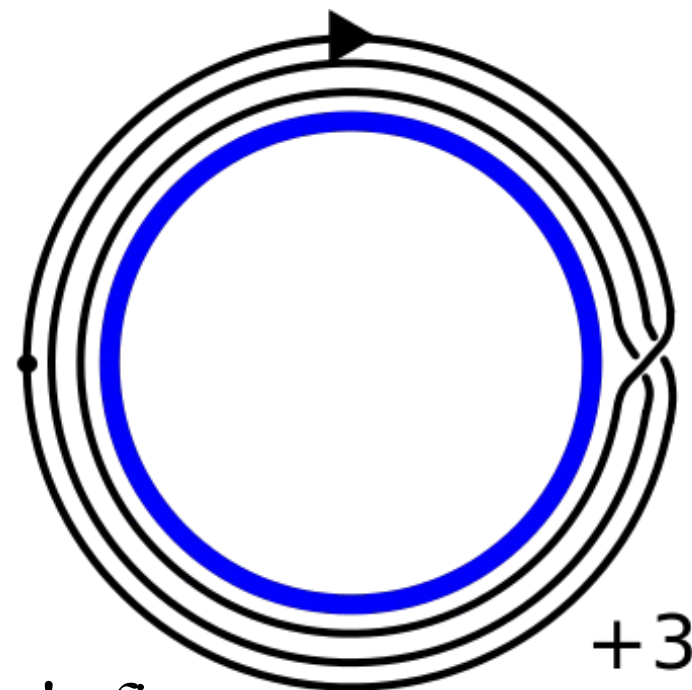
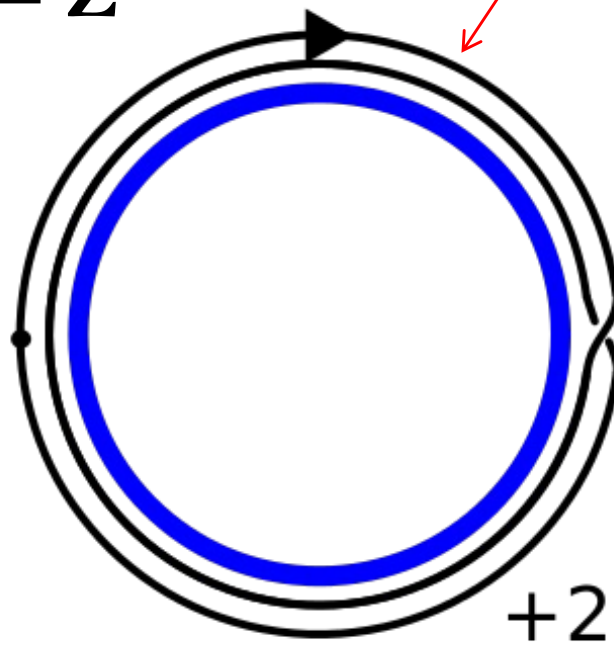
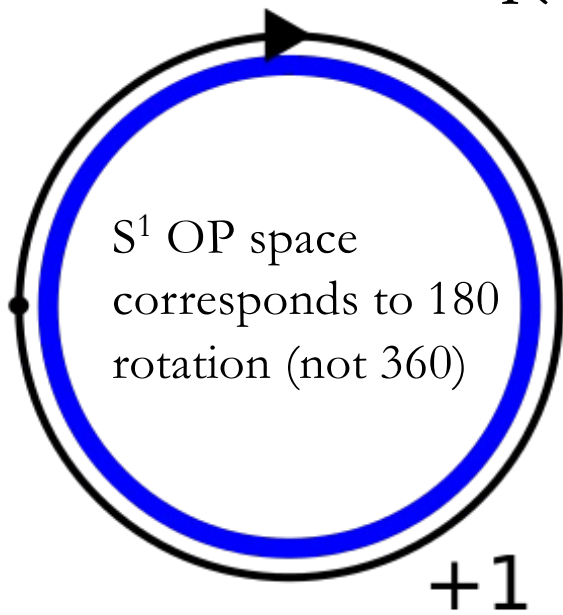
like wrapping a rubber band around one's finger

How about the 2D nematic? What is OP and what defects allowed

Defects in 2D nematic: $\pi_1(S^1)=\mathbb{Z}$



$$\pi_1(S^1) = \mathbb{Z}$$



like wrapping a rubber band around one's finger

Same homotopy group but subtle details are different!

No line defects in 3D ferromagnets,

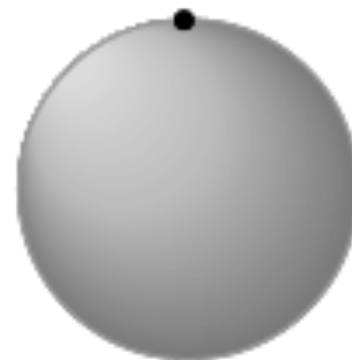
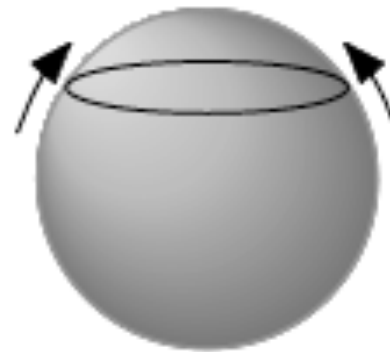
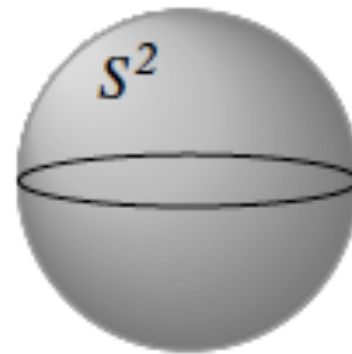
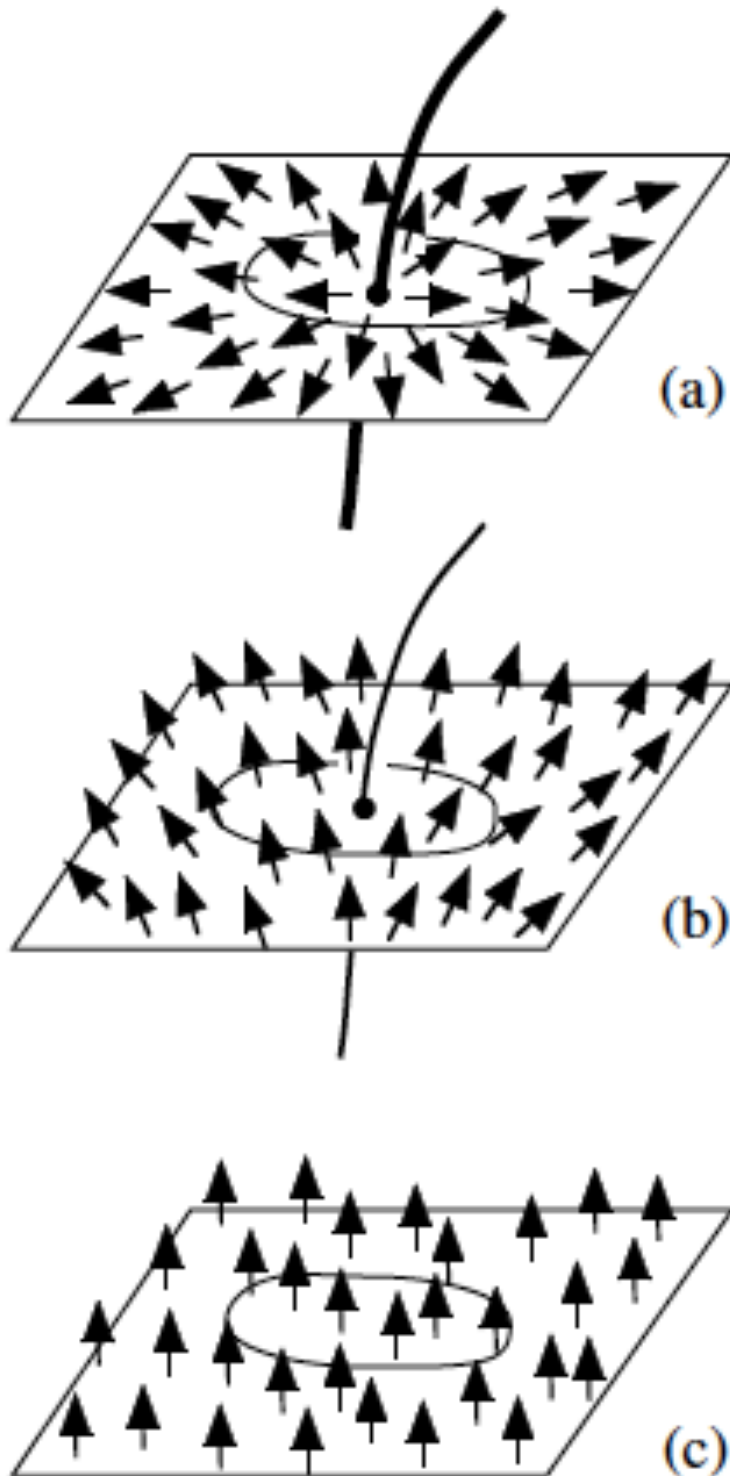
$$\pi_1(S^2) = 0$$

What happens in a real space as we shrink the loop?



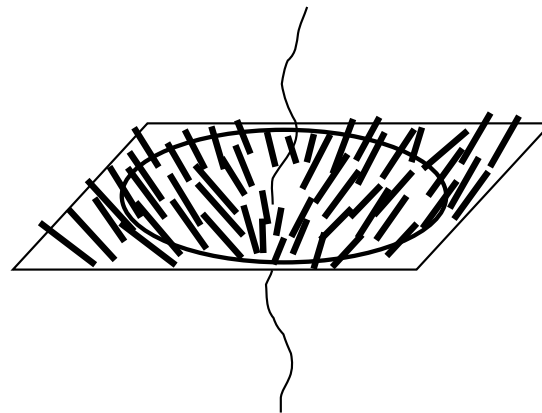
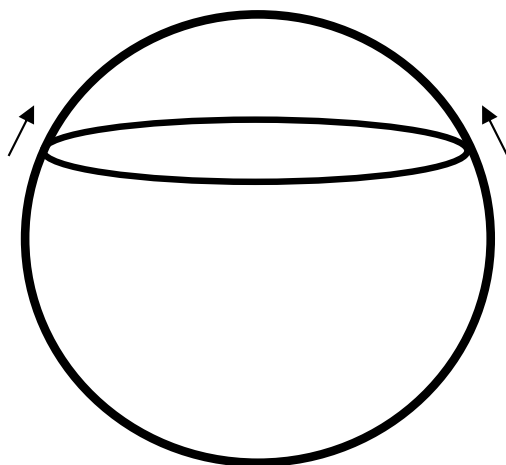
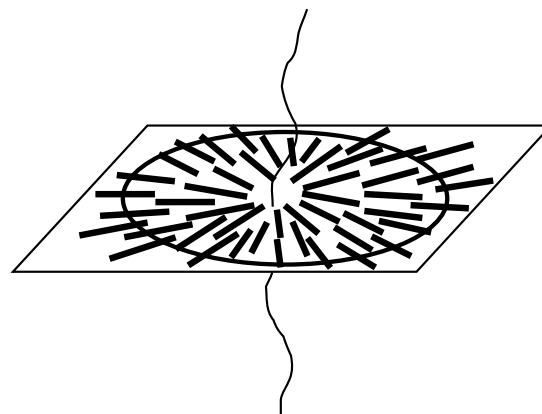
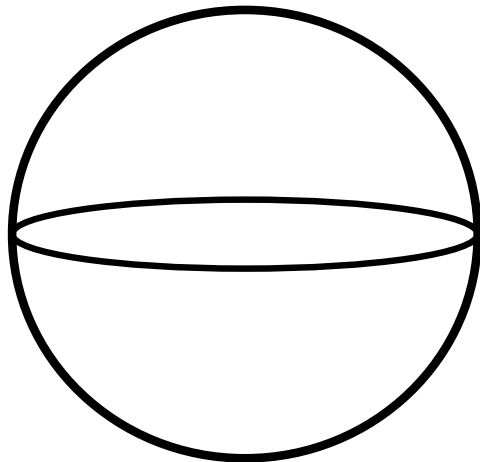
- Any continuous mapping from a circle to an ordinary sphere can be continuously deformed to a one-point mapping, and so its homotopy class is trivial
- All mappings from a lower-dimensional sphere into a sphere of higher dimension are similarly trivial

Escape in a 3D ferromagnet

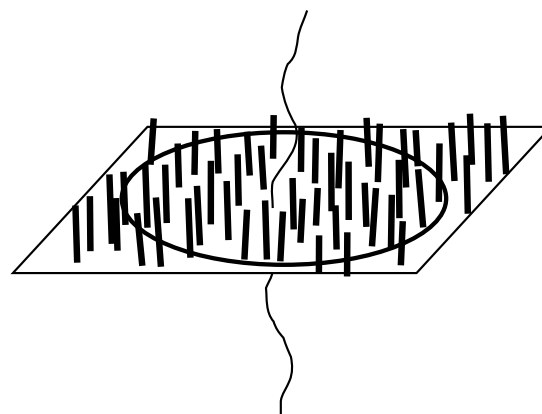
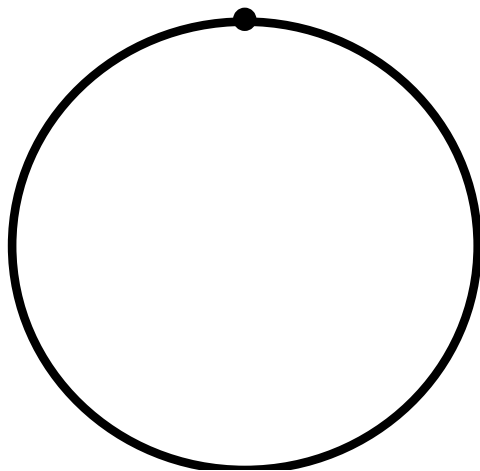


Continuous transformation of a disclination $s = 1$ (a) into a uniform state $s = 0$ (c) in a 3D ferromagnetic phase (left); corresponding contraction of a loop in the order parameter space (right).

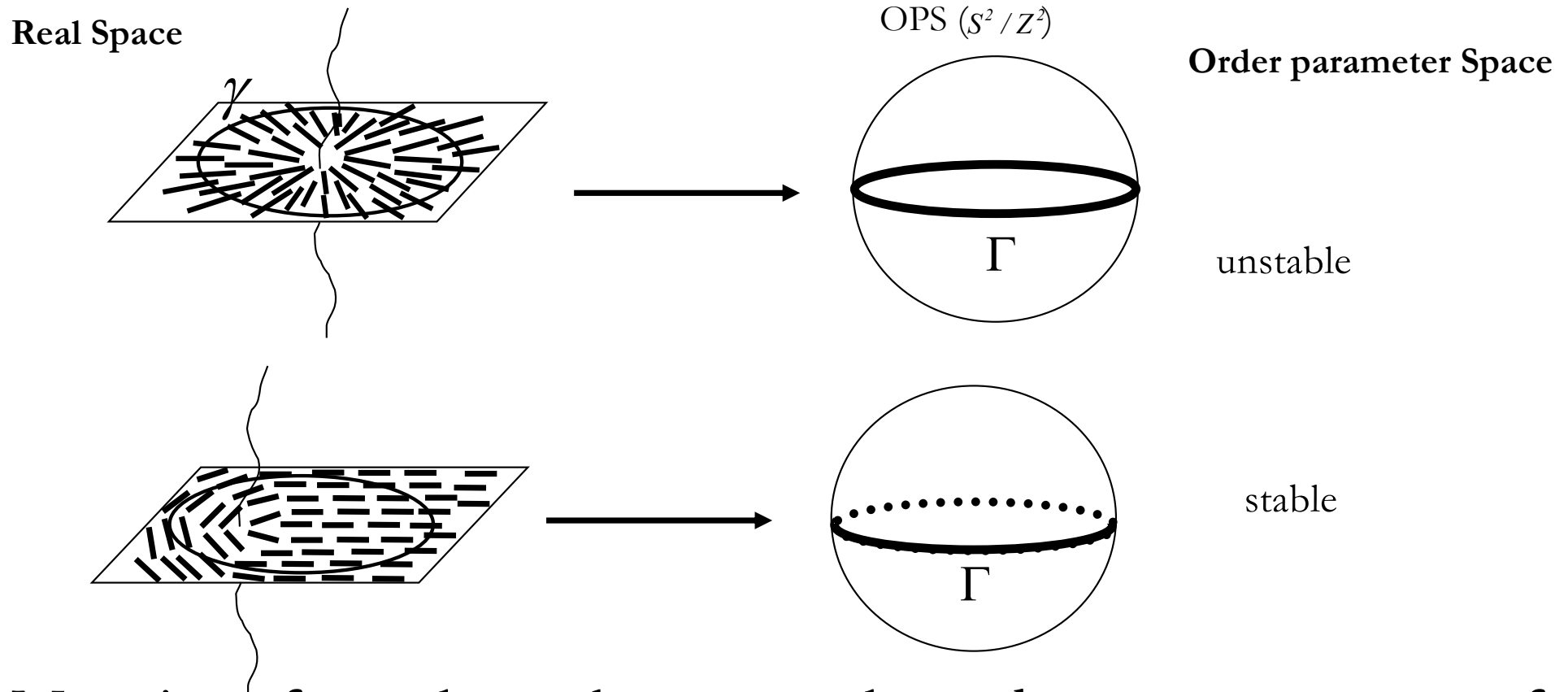
Escape in a nematic LC



also
unstable



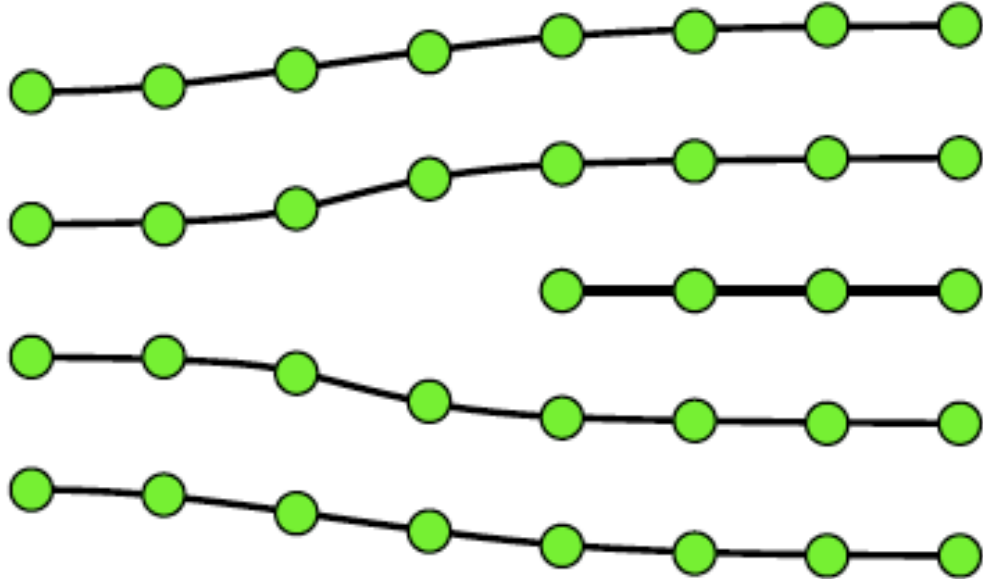
Mappings from the real space to the order parameter space



Mappings from the real space to the order parameter space for two different director fields:

1. The contour completes the great circle on S^2 / Z^2
2. The contour connects the diametrically opposite points.

Dislocations in a solid crystal

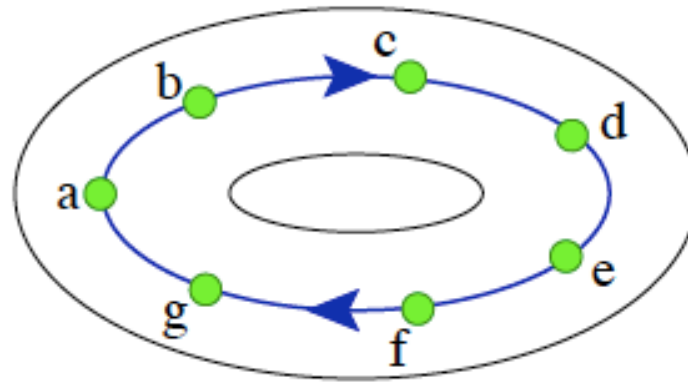
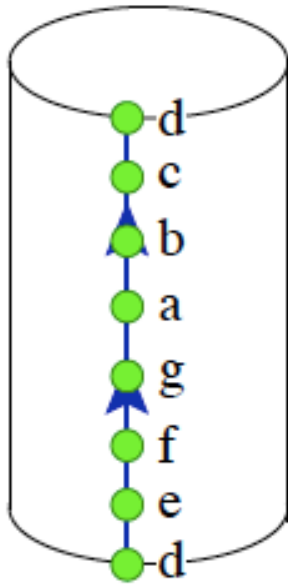
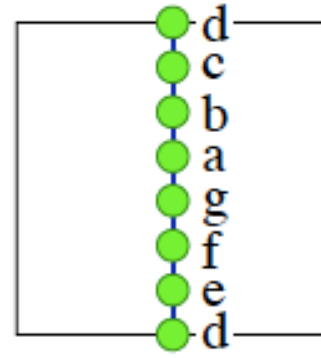
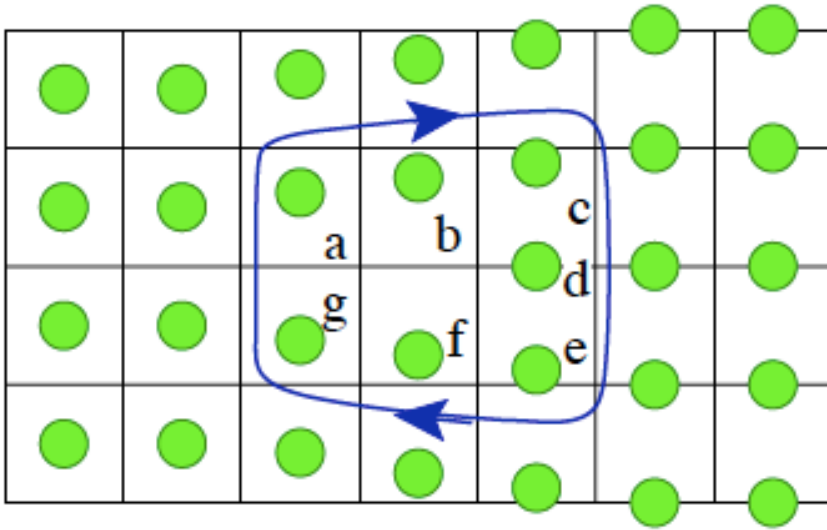


Recall characterization
based on the Burgers vector

Singularity in the order parameter – how to understand
based on the topology of the order parameter space?

Homotopy theory analysis?

Dislocations in a solid crystal

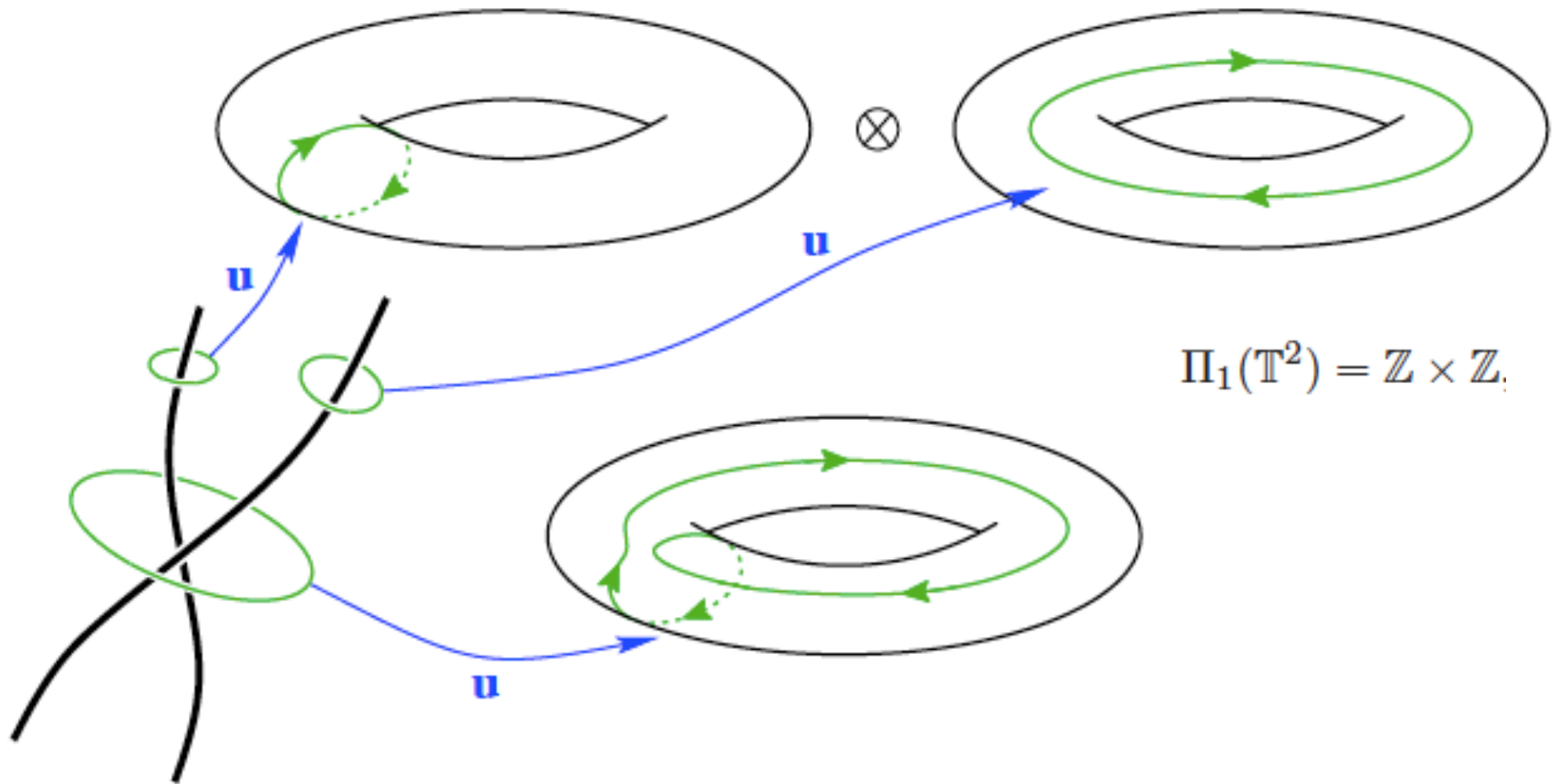


$$\Pi_1(\mathbb{T}^2) = \mathbb{Z} \times \mathbb{Z}$$

defect surrounded
by S^1 – first
homotopy group

Mapping from the Burgers circuit onto the OP space – \mathbb{T}^2
Two integers – characterize the pathway on the torus

Dislocations in a solid crystal with defects in rows & columns

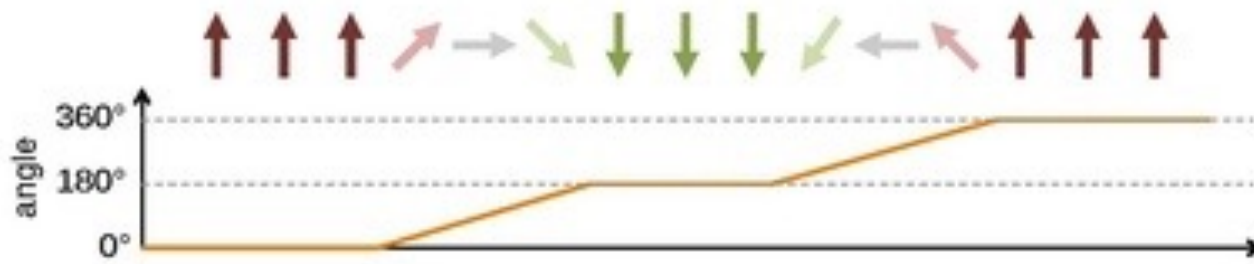


(m, n) represent the number of extra rows & extra columns of atoms

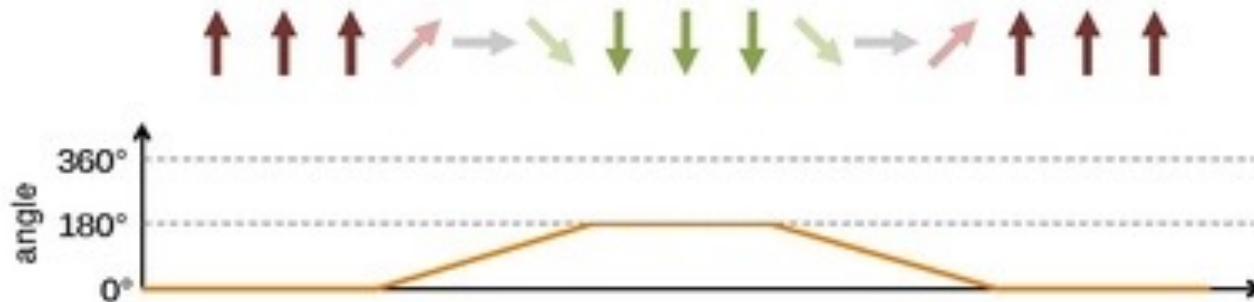
Topological solitons – continuous but topologically nontrivial field configurations

Example – 1D soliton in a ferromagnet

1D Skyrmion (360° domain wall)



Two domain walls of opposite chirality

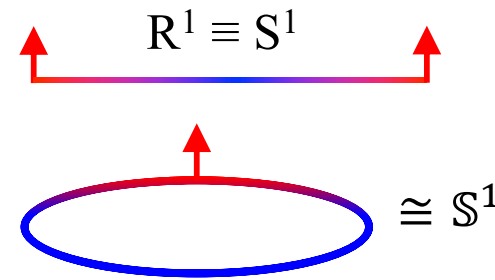
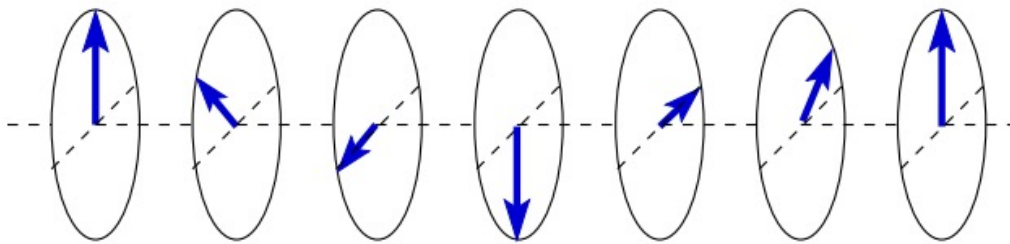


Homotopy maps
 $\pi_1(S^1) = \mathbb{Z}$

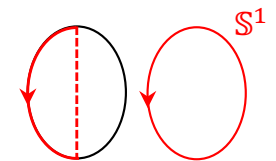
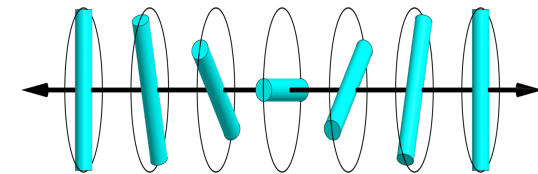
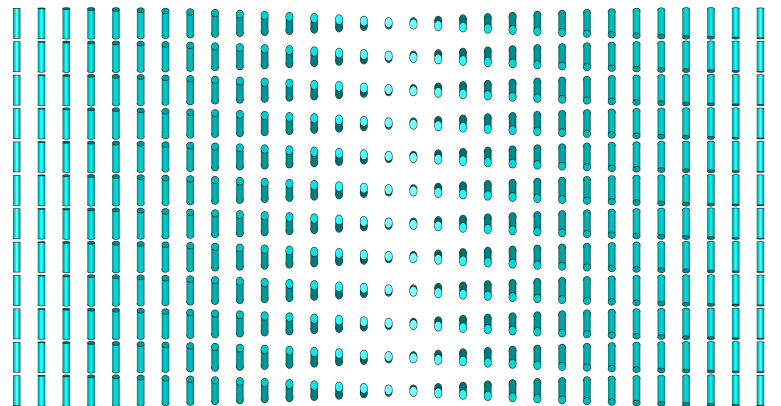
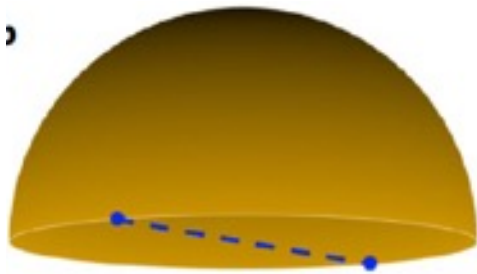
- Mapping the field along x into S^1 fully covers it!
- equivalent to the S^1 surrounding space when the far-field is uniform!

Directors versus vectors & twist walls as topological 1D solitons

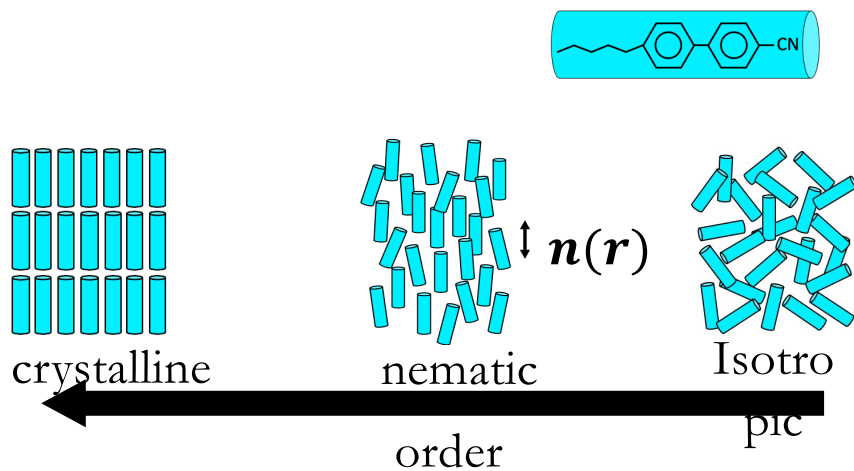
Vector field



Director field (nonpolar)

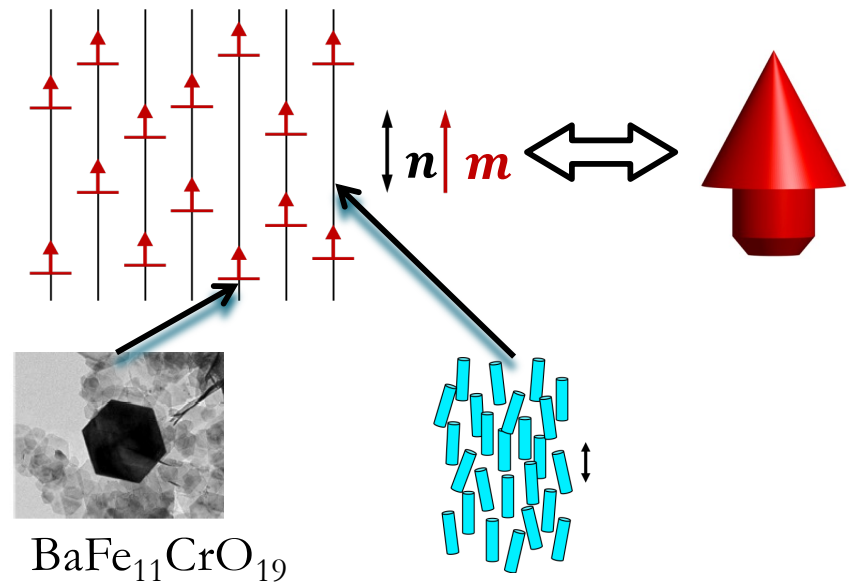


Magnetic Colloidal Liquid crystals



- Ordered fluid with long-range orientational order
- Director $n(\mathbf{r})$

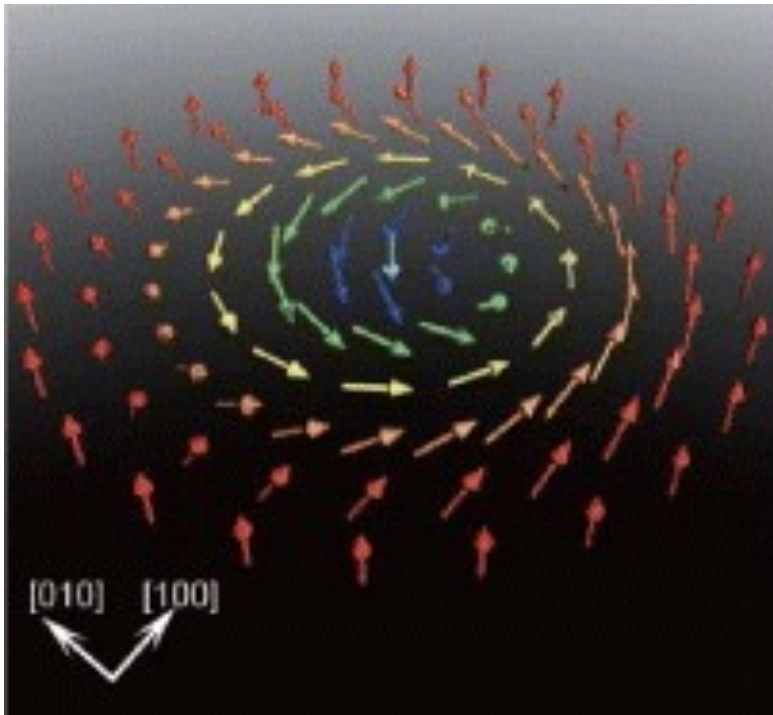
- LC ferromagnets



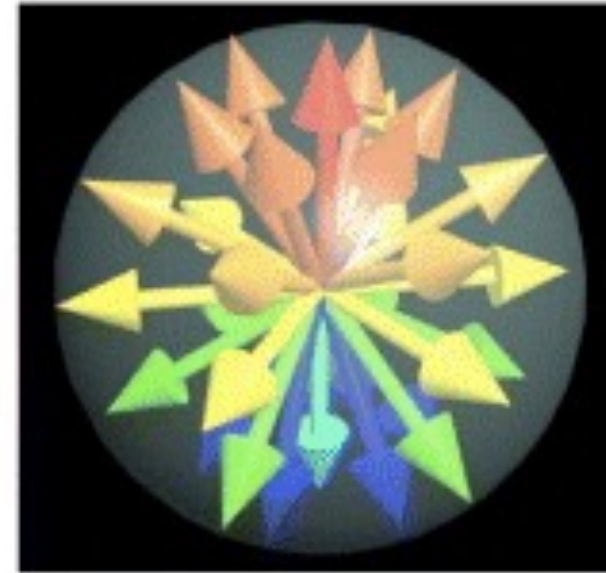
- Vector $m(\mathbf{r})$
- Polar switching by weak fields

2D soliton example – “Baby Skyrmion”

2D soliton in a 3D ferromagnet, $\pi_2(S^2) = \mathbb{Z}$

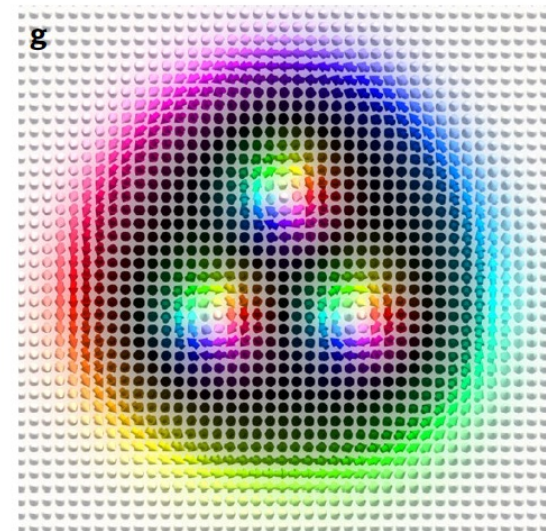
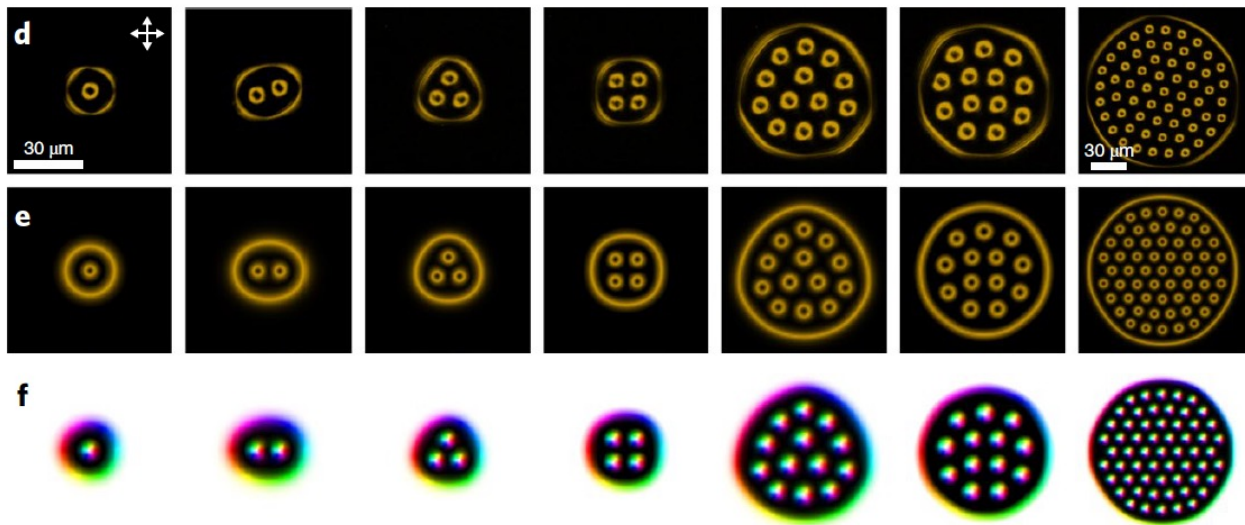
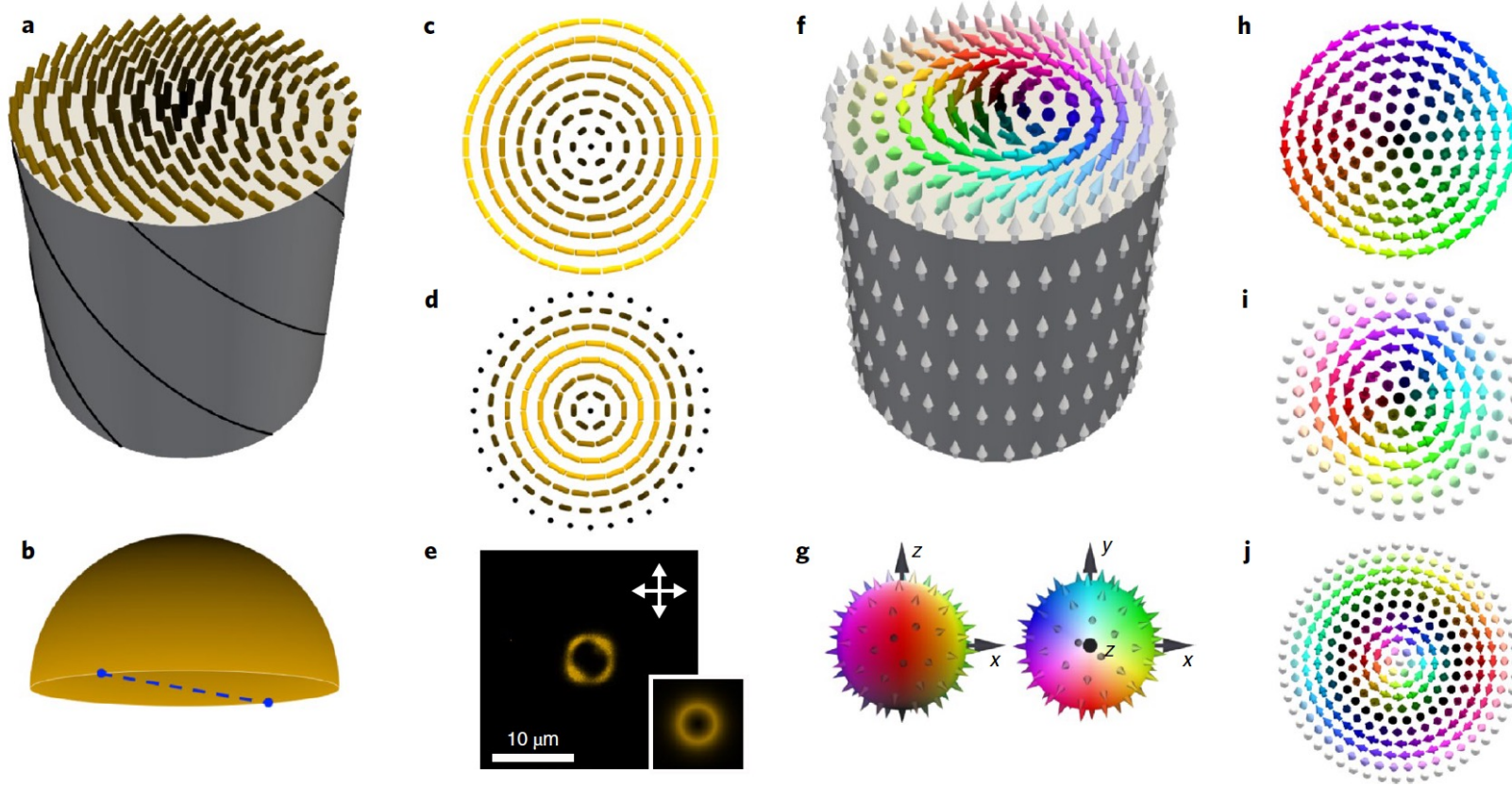


Mapping onto
the unit sphere



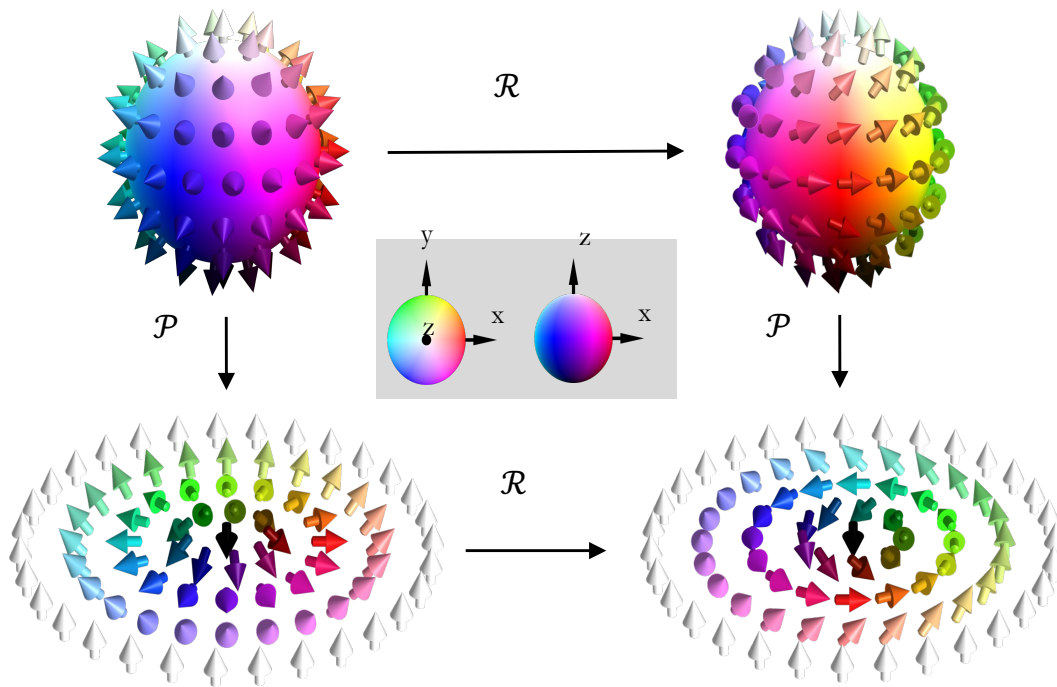
- Mapping of the field from a 2D plane into a unit sphere fully covers it!
- 2D plane & sphere are equivalent when the far-field is uniform!

High-degree 2D Skyrmions

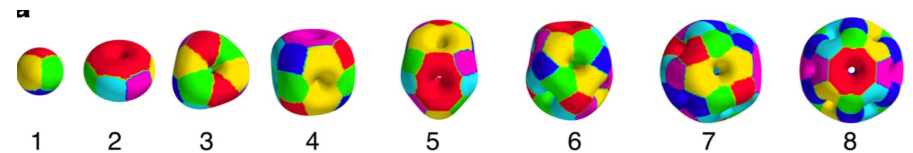


2D solitons, stereographic projection

S^2 in 2 and 3 Dimensions



	π_1	π_2	π_3	π_4	π_5
S^0	0	0	0	0	0
S^1	\mathbb{Z}	0	0	0	0
S^2	0	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^3	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^4	0	0	0	\mathbb{Z}	\mathbb{Z}_2
S^5	0	0	0	0	0



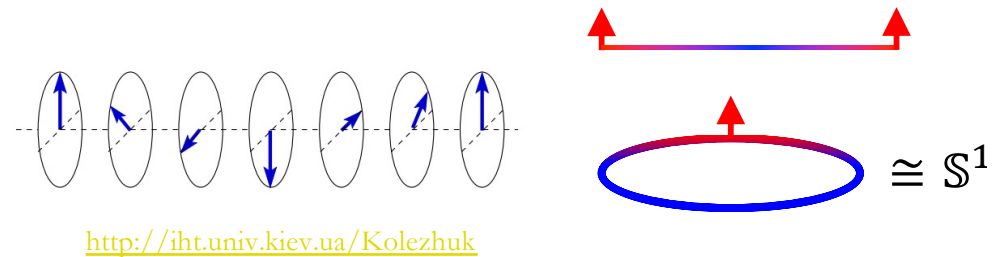
2D Soliton: baby Skyrmions

3D solitons?

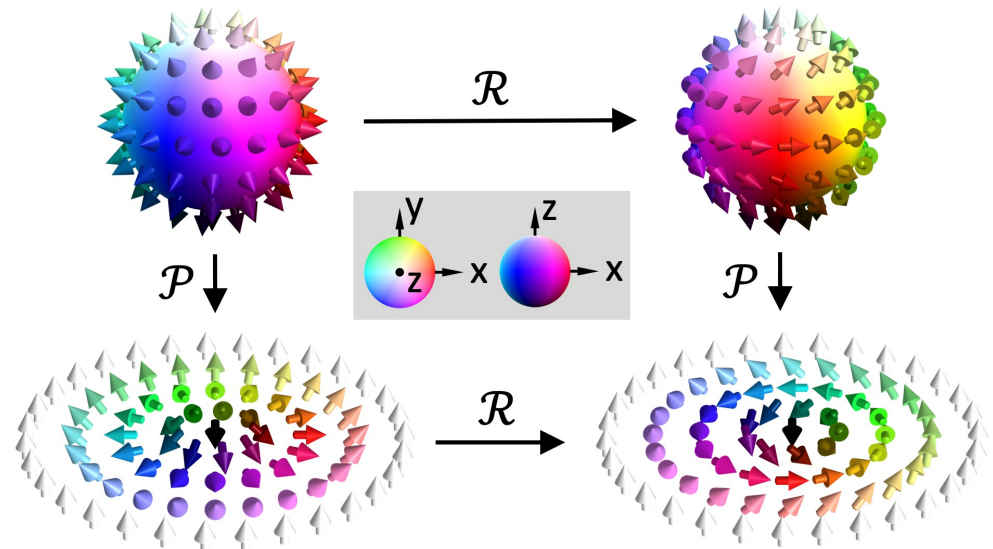
Homotopy theory and topological solitons

$\mathbf{m}(\mathbf{r}): \mathbf{r} \in \text{configuration space} \rightarrow \mathbf{m} \in \text{order-parameter space}$

- 1D kink or wall ($\pi_1(S^1) = \mathbb{Z}$)
 - Configuration space \mathbb{R}^1
 - $\mathbb{R}^1 \cong S^1$ if far-field uniform
 - Examples: domain walls in ferromagnets with \mathbf{M} constrained in a plane (S^1)



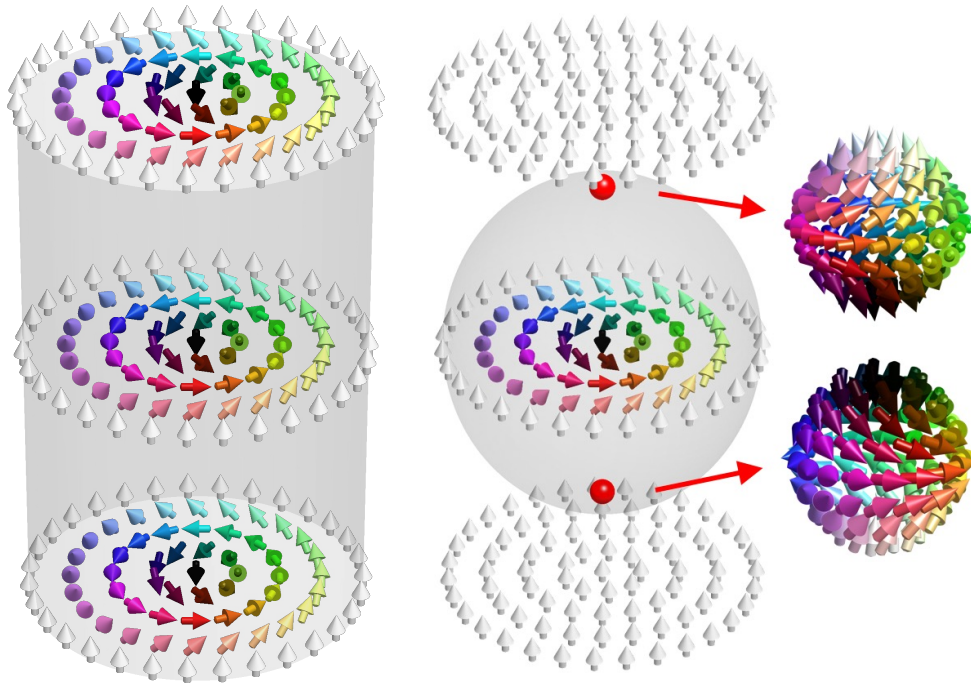
- 2D skyrmion ($\pi_2(S^2) = \mathbb{Z}$)
 - $\mathbb{R}^2 \cong S^2$ when the far-field is uniform
 - 2D soliton in a ferromagnet with \mathbf{M} taking all possible orientations on S^2



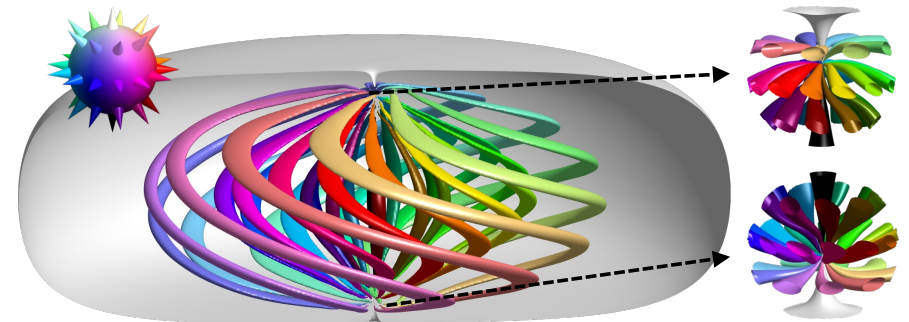
$$N_{\text{sk}} = \frac{1}{4\pi} \int dx dy \mathbf{m}(\mathbf{r}) \cdot (\partial_x \mathbf{m}(\mathbf{r}) \times \partial_y \mathbf{m}(\mathbf{r}))$$

2D skyrmions ($\pi_2(\mathbb{S}^2) = \mathbb{Z}$) in LCs

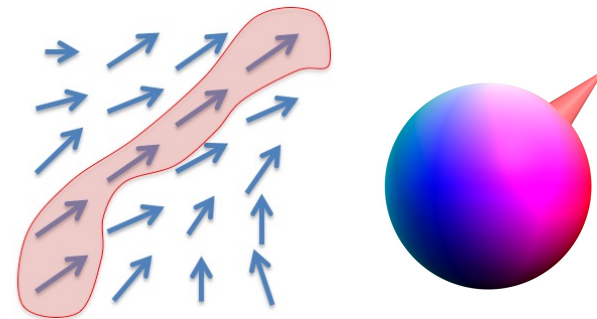
- 2D skyrmion & Toron



- Preimages

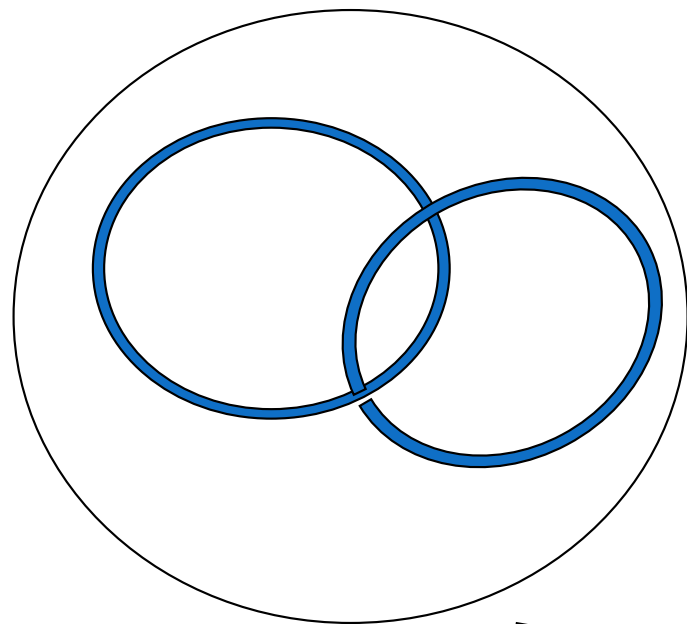
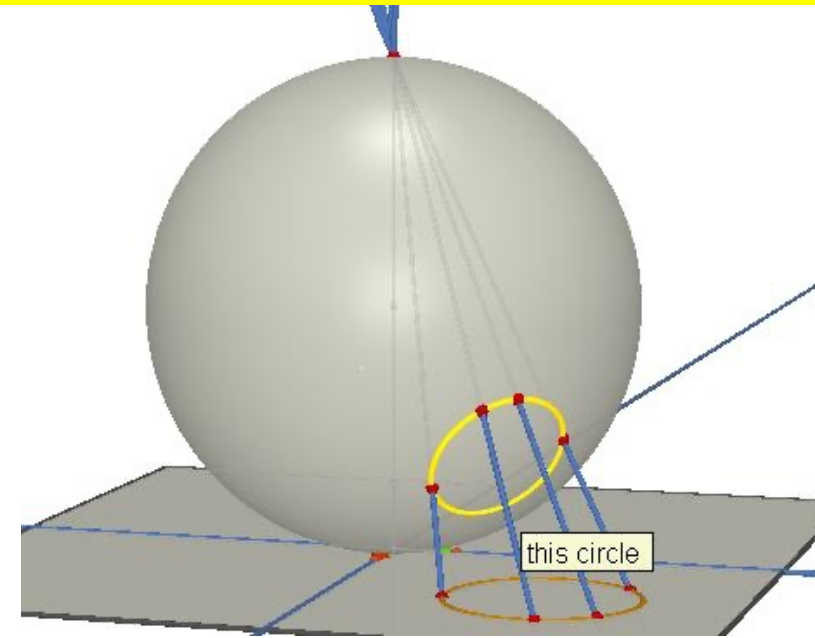


Preimage (inverse image) of $m(r): r \rightarrow m$ map
 Region of a constant m

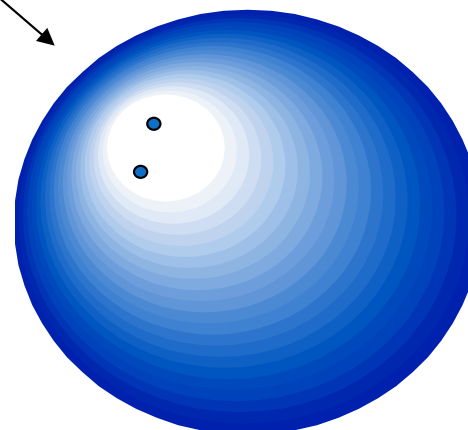


Stereographic projection

- Stereographic Projection of S^2 in 3D onto a 2D plane
- Stereographic Projection of S^3 in 4D into the 3D space



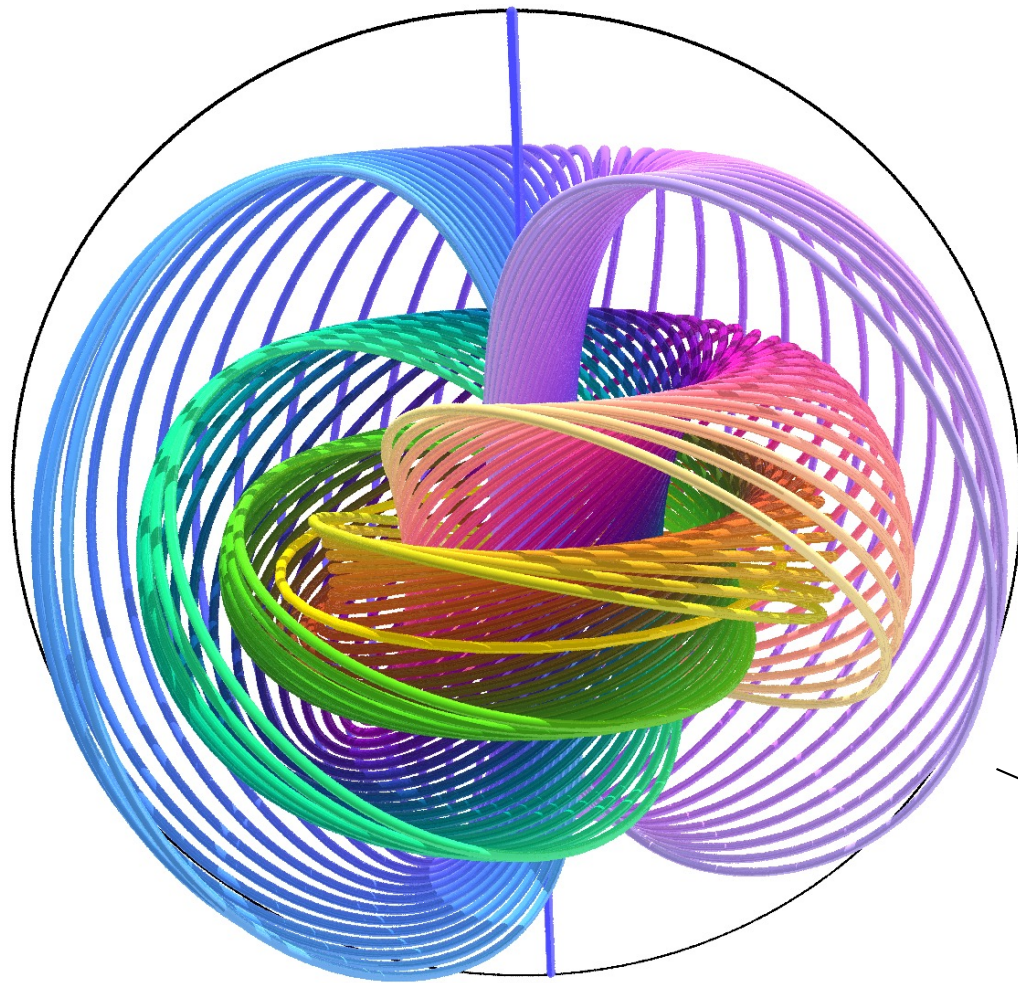
each point of S^2 corresponds to a distinct circle of S^3 & its projection



Circles are linked!

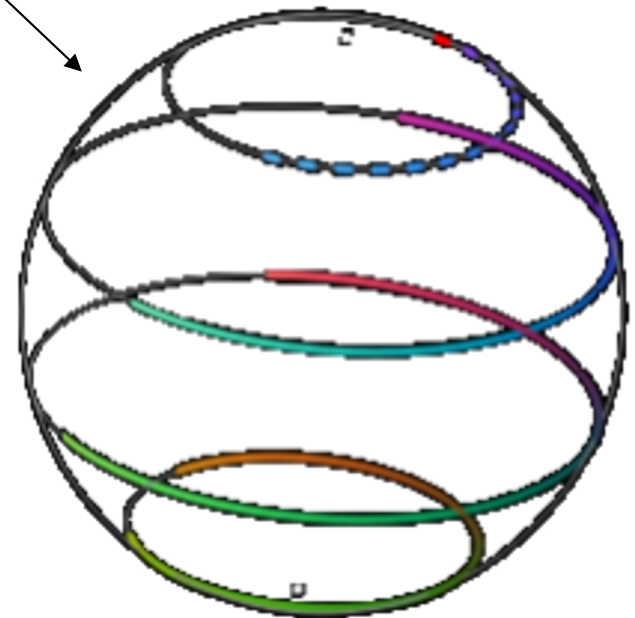
S^2

Hopf fibration



S^3

S^3 in terms of S^1 circles
projected to 3D space &
points on S^2



S^2

Topologically nontrivial!

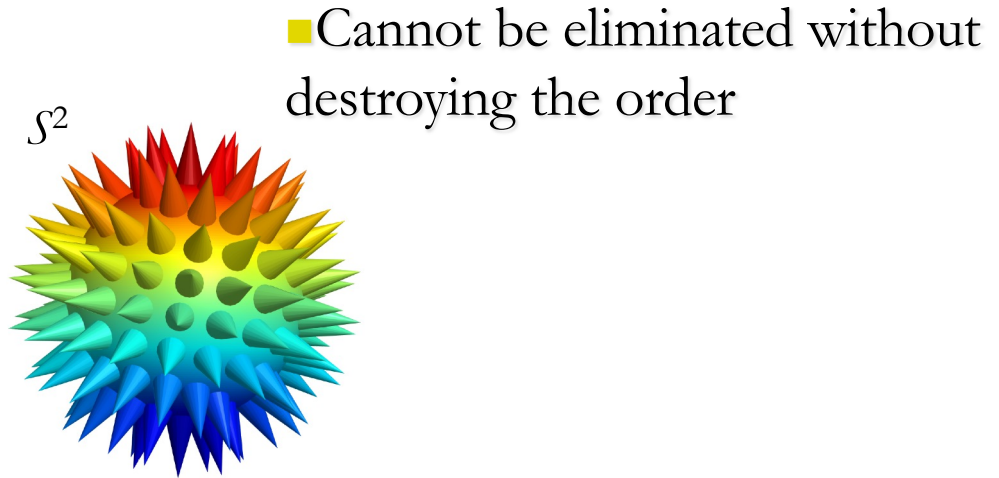
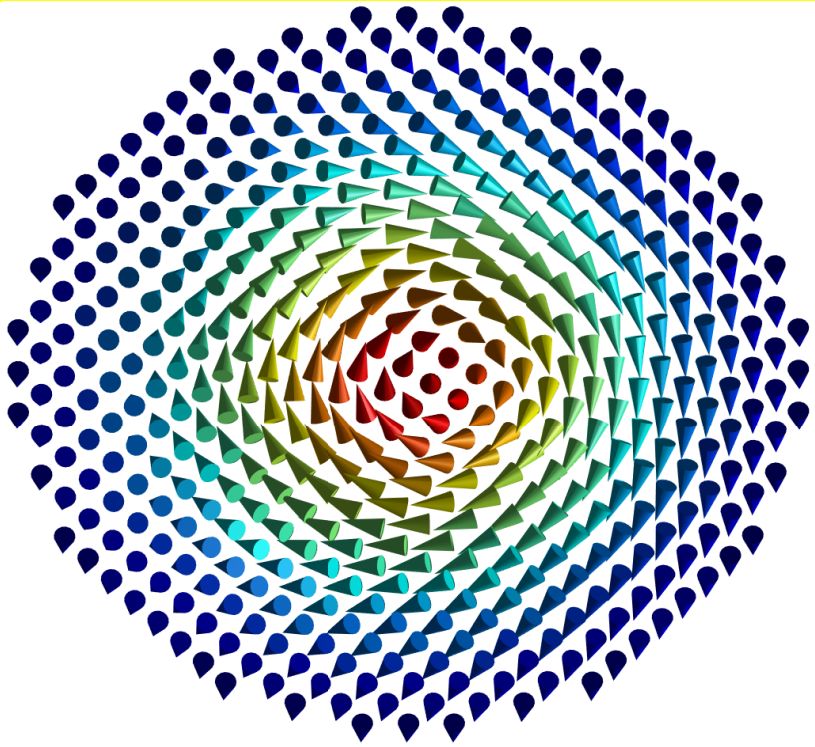
Hopf fibration



Heinz Hopf (1894-1971)

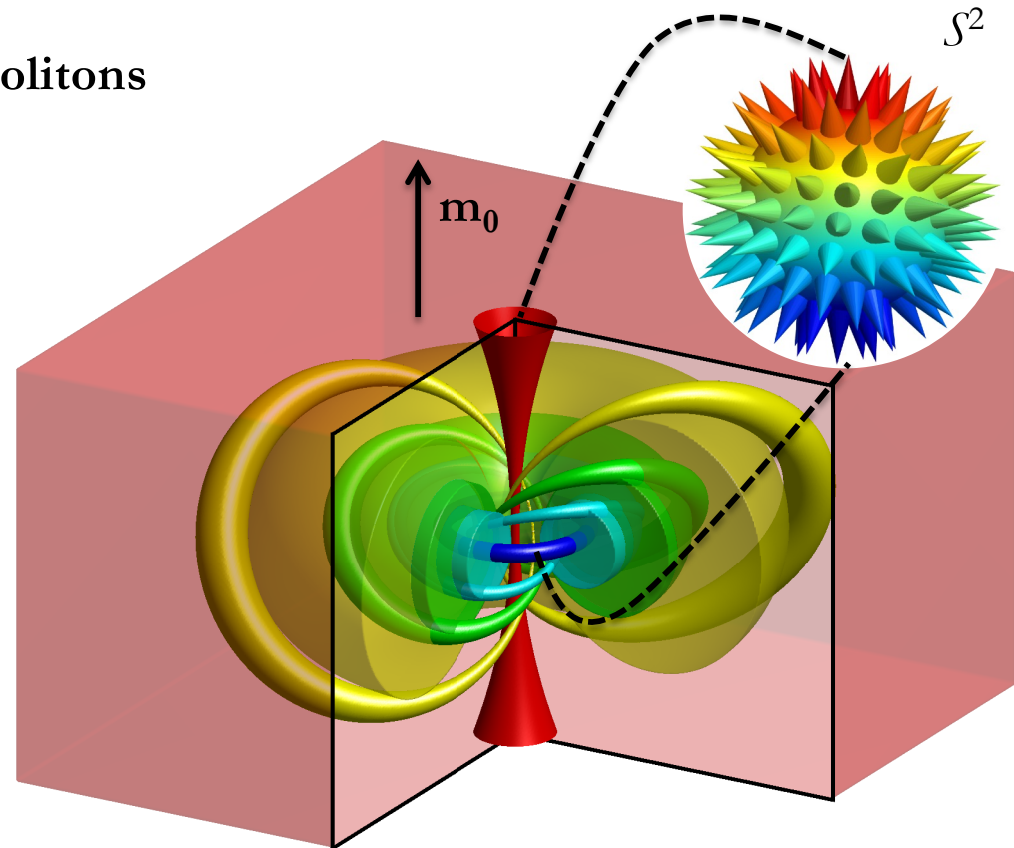
- Part of many theories in mathematics & physics

2D versus 3D topological solitons



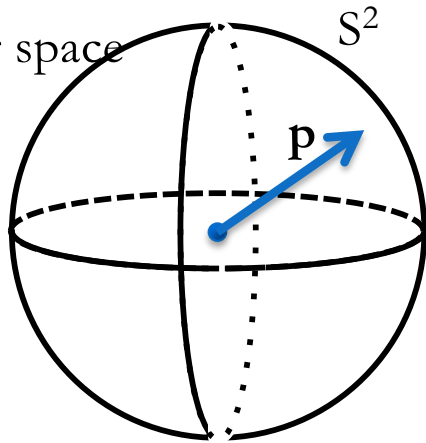
3D solitons

- Continuous, nonsingular, but topologically nontrivial
- Knots/links in continuous fields embedded in a uniform background

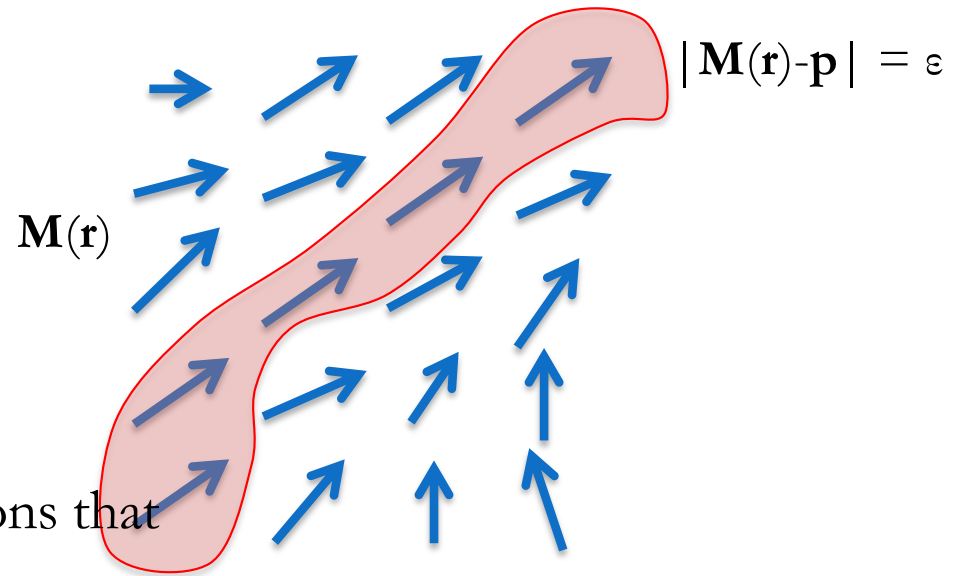


3D topological solitons & preimages

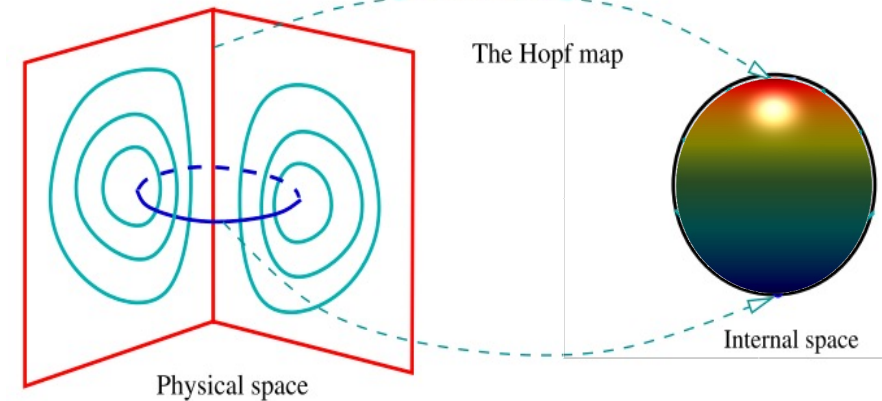
Order parameter space for $\mathbf{M}(\mathbf{r})$



Preimage of unit vector \mathbf{p} on S^2 : sample regions that have the same $\mathbf{M}(\mathbf{r})$ -orientation



Hopf map from 3D to S^2



→ Choose \mathbf{M} -orientation on S^2

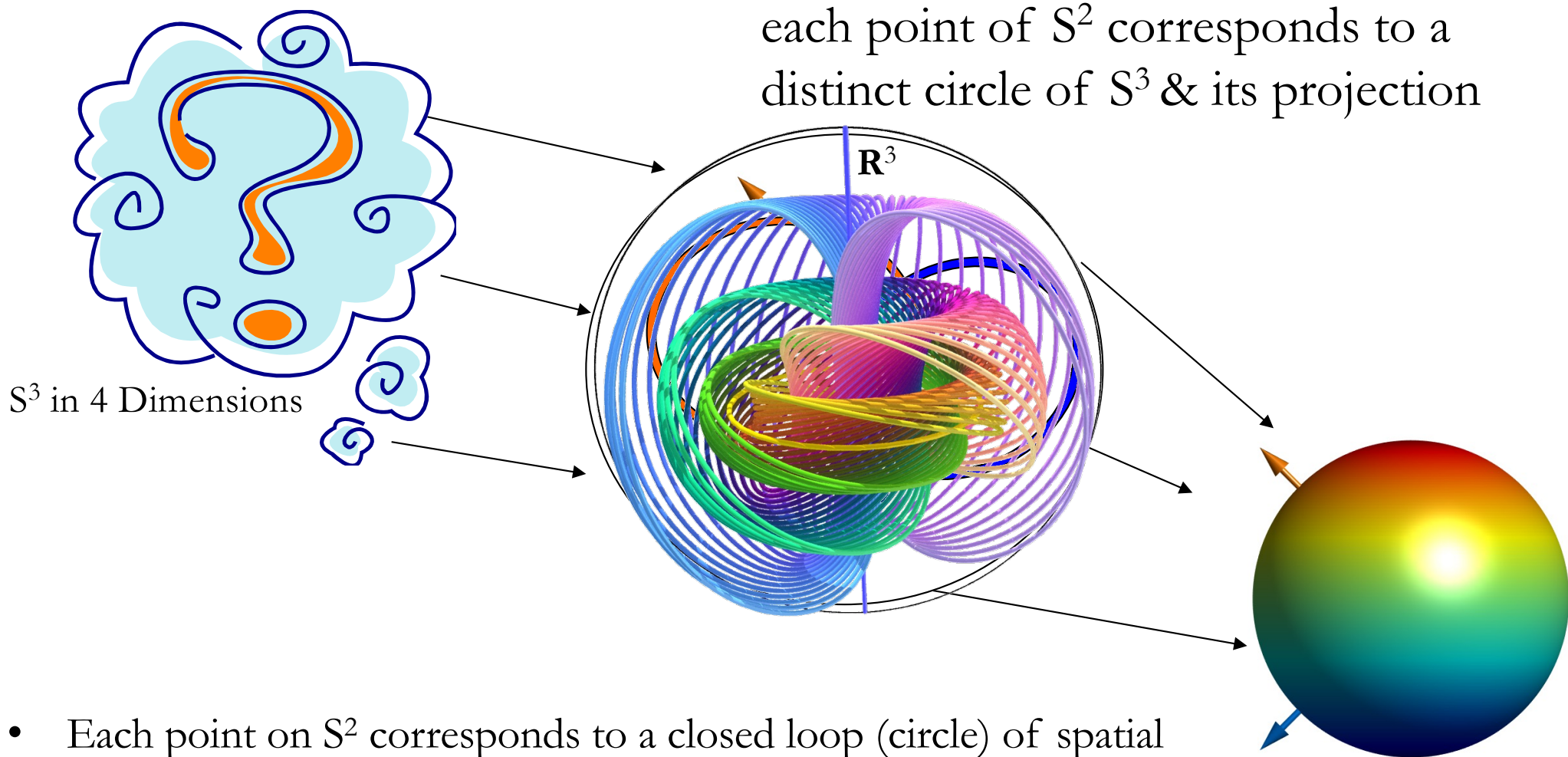
→ Find regions within the soliton with this $\mathbf{M}(\mathbf{r})$ orientation

→ Do this for all points on S^2

→ Look for preimages that are linked circles!!!

3D solitons, stereographic projection from S^3

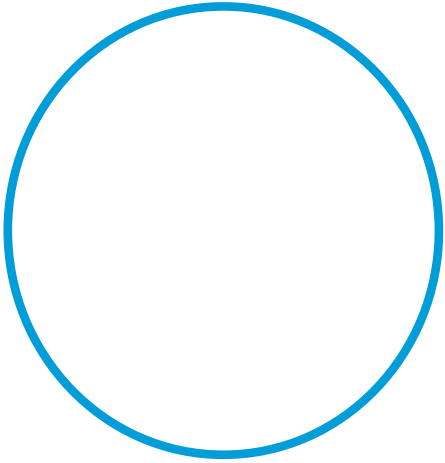
S^3 can represent a soliton in the 3D space (\mathbf{R}^3) for the uniform far-field background



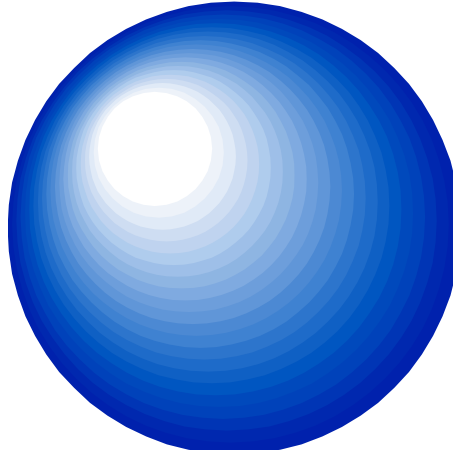
- Each point on S^2 corresponds to a closed loop (circle) of spatial positions within the solitons
- $\pi_3(S^2)$ solitons in $\mathbf{M}(\mathbf{r})$ & $\pi_3(\mathbf{R}P^2)$ in $\mathbf{n}(\mathbf{r})$

Spheres

S^1 in 2 Dimensions



S^2 in 3 Dimensions



S^3 in 4 Dimensions



S^2 - all points in 3D space at distance 1 from the origin.

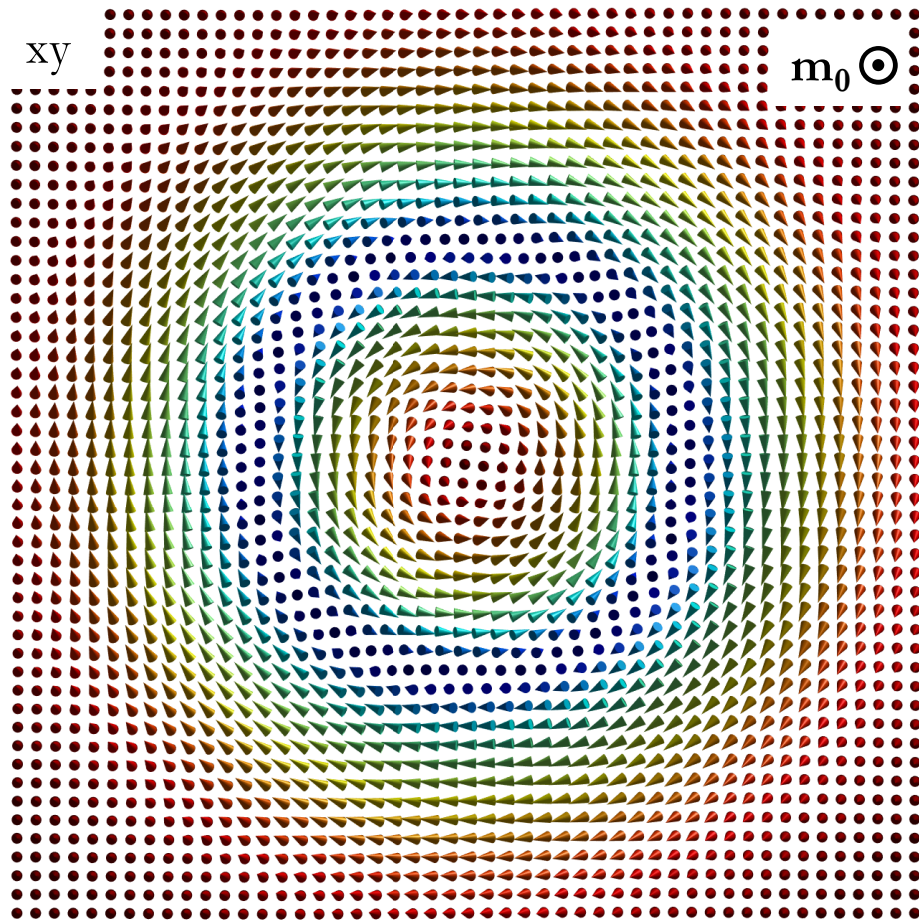
$$\sqrt{x^2 + y^2 + z^2} = 1$$

S^3 - all points (x,y,z,w) in 4D space at distance 1 from the origin.

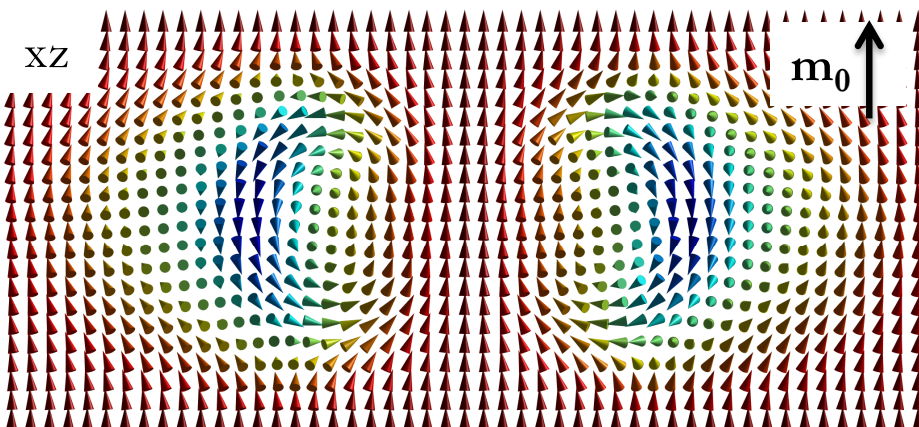
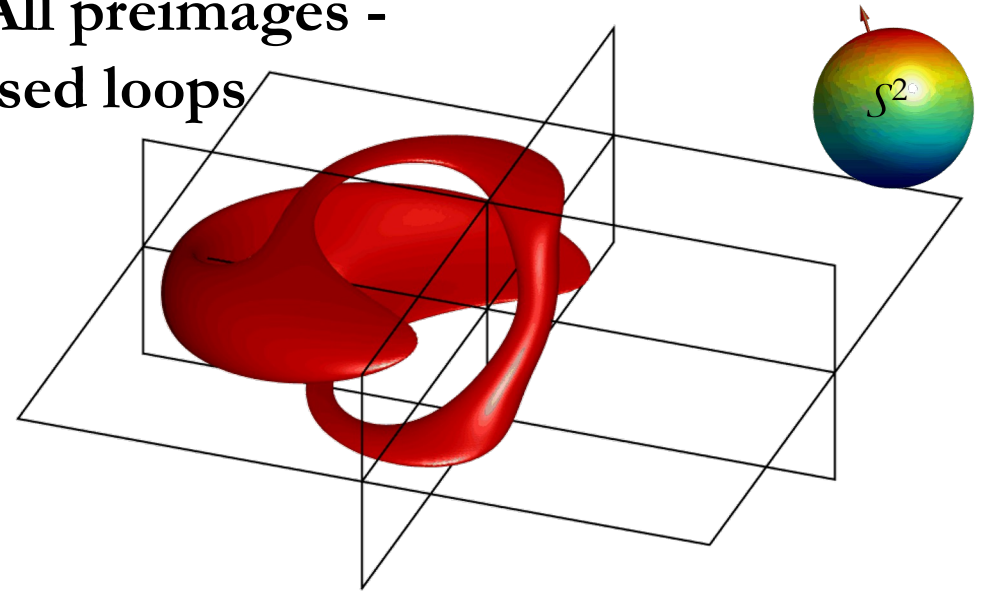
$$\sqrt{x^2 + y^2 + z^2 + w^2} = 1$$

Can we see the high-dimensional spheres?

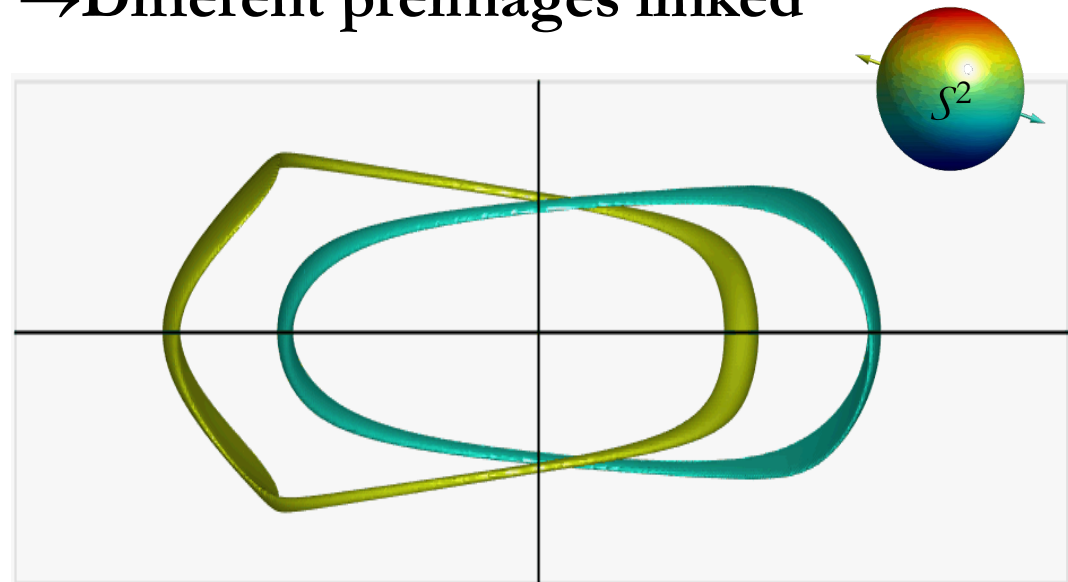
3D solitons, Numerical Modeling & Analysis



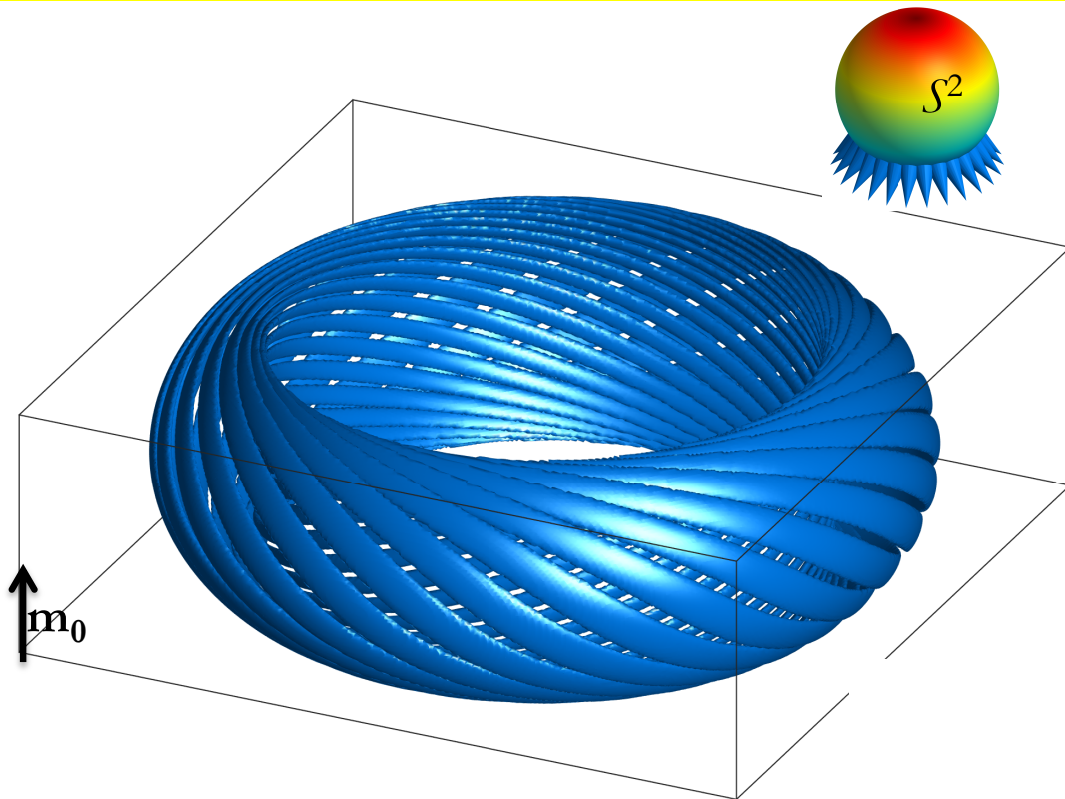
→ All preimages -
closed loops



→ Different preimages linked

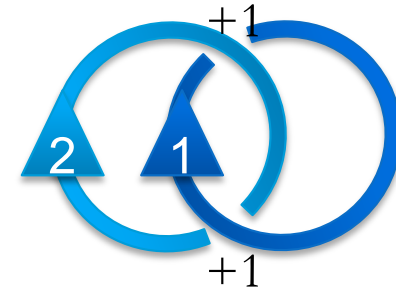


Topological solitons with Hopf index $Q=1$



→ crossings- linking number

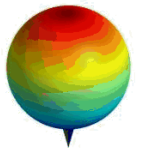
→ Hopf index $Q = \Sigma C / 2 = 1$



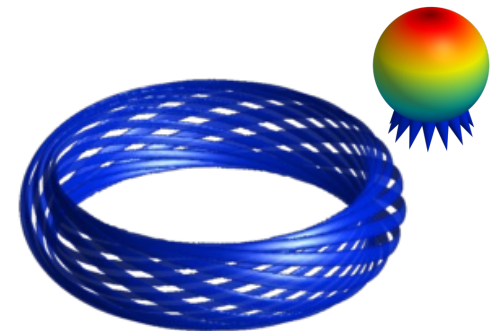
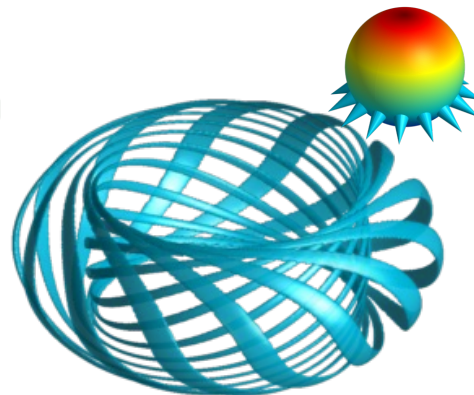
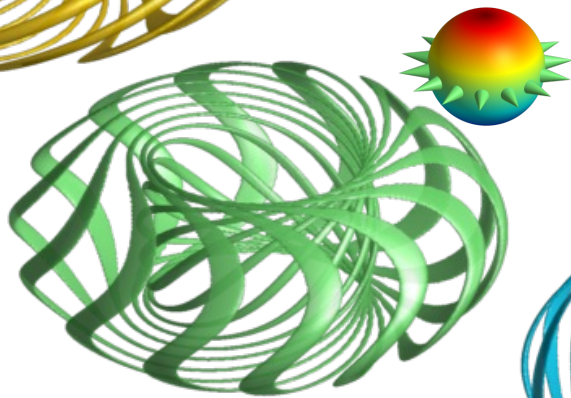
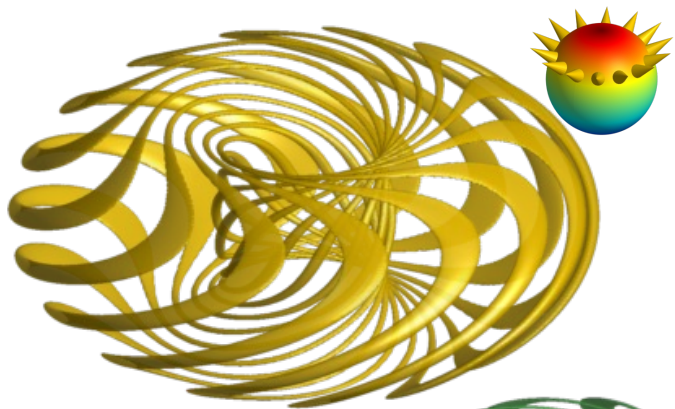
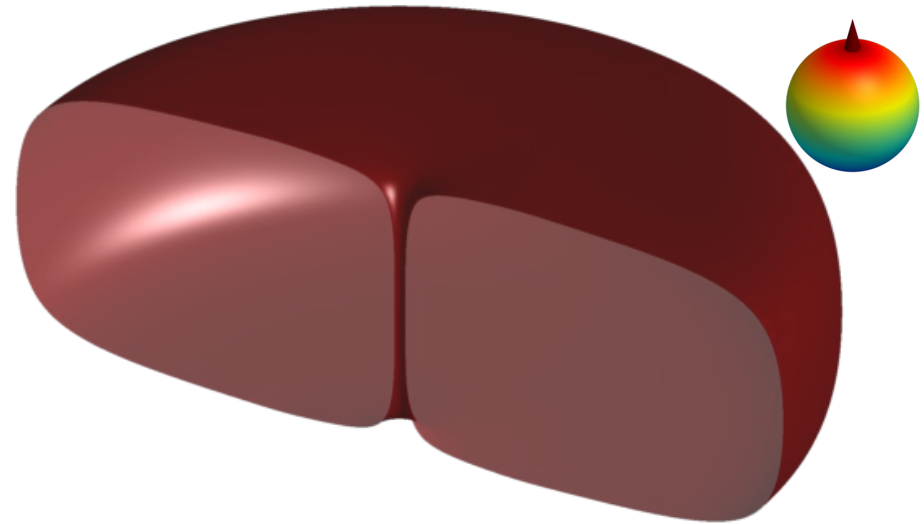
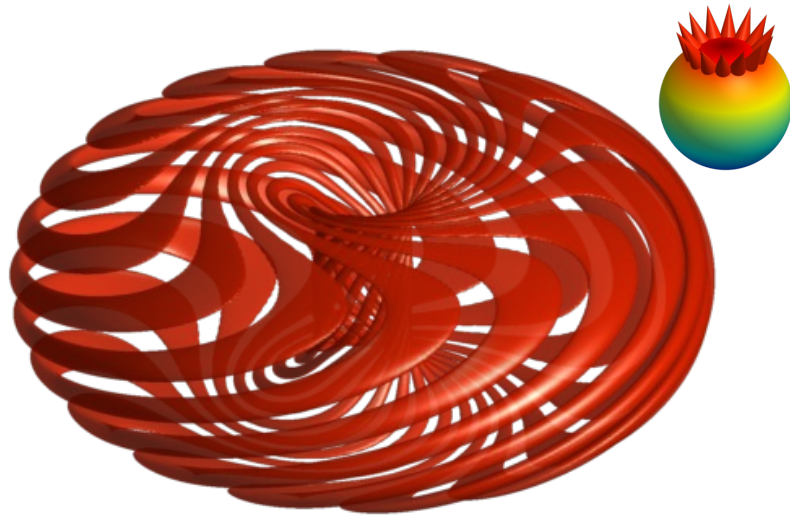
→ Filling localized space with all preimages:

→ Preimages of points with the same polar angle tile into tori

→ Nested tori fill the 3D space



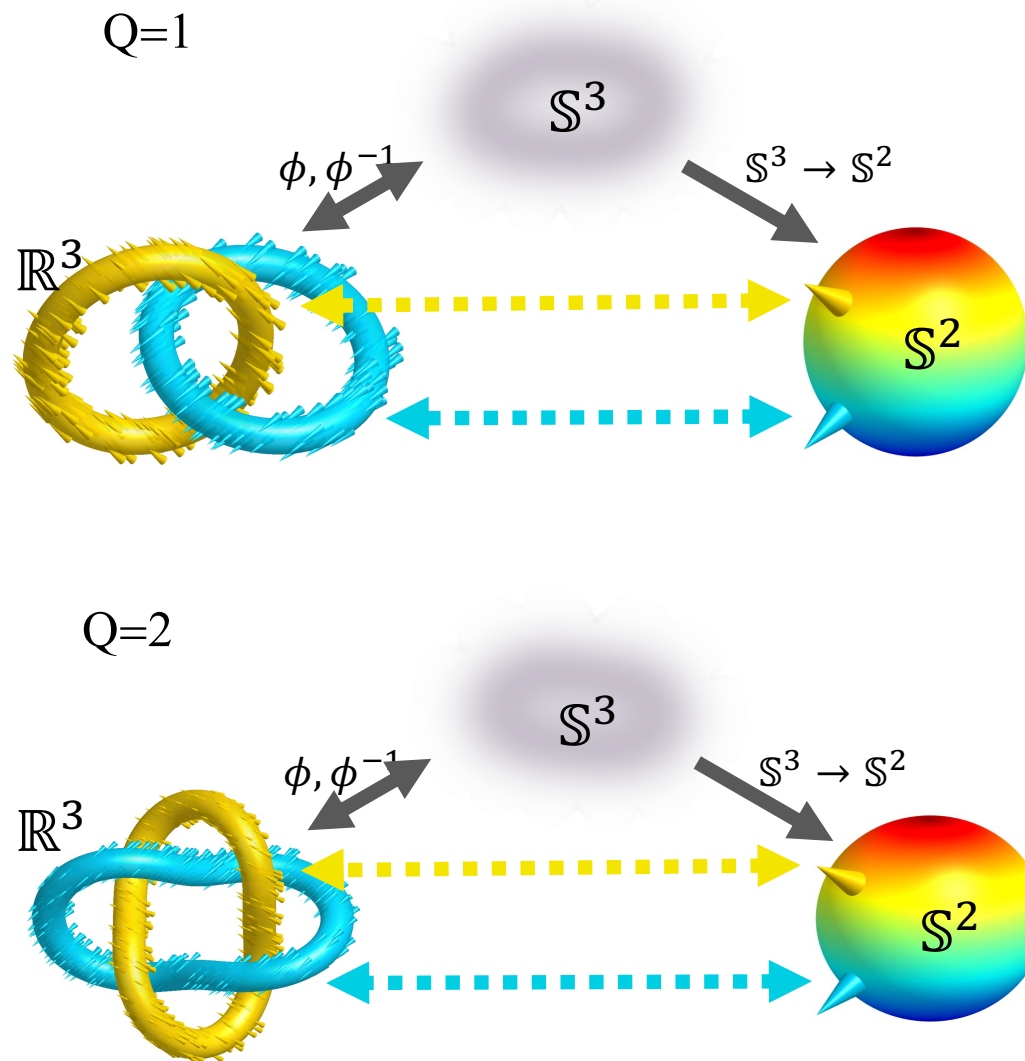
Nested tori of linked preimages



Sphere-sphere maps homotopy theory

	π_1	π_2	π_3	π_4	π_5
S^0	0	0	0	0	0
S^1	\mathbb{Z}	0	0	0	0
S^2	0	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^3	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2
S^4	0	0	0	\mathbb{Z}	\mathbb{Z}_2
S^5	0	0	0	0	\mathbb{Z}

$Q = \pi_3(S^2) = \mathbb{Z}$ solitons



- i -th homotopy group $\pi_i(S^n)$ – ways the i -dimensional sphere S^i can be mapped into n -dimensional sphere S^n