

Quantum Vortices and Vortex Lattices

Lecture 3: The Ginzburg-Landau Equations

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Ginzburg-Landau Equations

Equilibrium states of superconductors (macroscopically) and of the $U(1)$ Higgs model of particle physics are described by the Ginzburg-Landau equations (GLE):

$$\begin{aligned} -\Delta_A \Psi &= \kappa^2(1 - |\Psi|^2)\Psi \\ \text{curl}^2 A &= \text{Im}(\bar{\Psi} \nabla_A \Psi) \end{aligned} \quad (1)$$

where $(\Psi, A) : \mathbb{R}^d \rightarrow \mathbb{C} \times \mathbb{R}^d$, $d = 2, 3$, $\nabla_A = \nabla - iA$, $\Delta_A = \nabla_A^2$, the covariant derivative and covariant Laplacian, respectively, and κ is the Ginzburg-Landau (GL) material constant. BC: $|\Psi| \rightarrow 1$ as $|x| \rightarrow \infty$.

The GLE are the Euler-Lagrange equations for the GL energy functional

$$E_Q(\Psi, A) = \frac{1}{2} \int_Q \{ |\nabla_A \Psi|^2 + |\text{curl} A|^2 + \frac{\kappa^2}{2} (|\Psi|^2 - 1)^2 \}, \quad (2)$$

for $\int_Q \text{curl} A$ (average magnetic field) fixed, where $Q \subset \mathbb{R}^2$.

Meaning of Ψ and A

Superconductivity. $\Psi : \mathbb{R}^d \rightarrow \mathbb{C}$ is called the *order parameter*; $|\Psi|^2$ is the density of (Cooper pairs of) superconducting electrons; $A : \mathbb{R}^d \rightarrow \mathbb{R}^d$ is the magnetic potential; $\text{Im}(\bar{\Psi}\nabla_A\Psi)$ is the superconducting current.

Particle physics. Ψ and A are the Higgs and $U(1)$ gauge (electro-magnetic) fields, respectively. (Part of [Weinberg - Salam model of electro-weak interactions](#)/ a standard model.)

Geometrically, A is a connection on the principal $U(1)$ - bundle $\mathbb{R}^d \times U(1)$, and Ψ , a section of the associated line bundle.

Similar equations appear in other areas of physics and material sciences.

Extensions: Yang-Mills-Higgs and Seiberg-Witten equations

Main objective: Describe ground states and symmetry breaking.

Ground States and Symmetries

Ground states (\equiv vacua in particle physics) are solutions with smallest energy (per unit volume)

Expect: Ground states have **maximal possible symmetry**.

GLE symmetries (i.e. transforms mapping solutions to solutions):

Gauge symmetry: for any C^1 function $\gamma : \mathbb{R}^d \rightarrow \mathbb{R}$,

$$T_{\chi}^{\text{gauge}} : (\Psi(x), A(x)) \mapsto (e^{i\chi(x)}\Psi(x), A(x) + \nabla\chi(x)); \quad (3)$$

Translational/rotational symmetry: $\forall h \in \mathbb{R}^d / \forall \rho \in SO(d)$,

$$T_h^{\text{transl}} : (\Psi(x), A(x)) \mapsto (\Psi(x+h), A(x+h)) / \dots \quad (4)$$

Expect ground states to be **gauge-translationally invariant**:

$$\exists \chi_h(x) : T_h^{\text{transl}}(\Psi, A) = T_{\chi_h}^{\text{gauge}}(\Psi, A), \quad \forall h \in \mathbb{R}^2. \quad (5)$$

((5) implies that $\chi_h(x)$ is a co-cycle and $\chi_h(x) := \frac{1}{2}b \cdot (x \wedge h)$.)

As common, we will consider \mathbb{R}^2 (the cylindrical geometry).

Symmetry Breaking

The gauge-translationally invariant solutions: $u_b := (\Psi = 0, A = A^b)$,
where $\text{curl } A^b = b = \text{const.}$

We label solns by the average magnetic field $\lim_{\Omega \rightarrow \mathbb{R}^2} \frac{1}{|\Omega|} \int_{\Omega} \text{curl } A = b$.

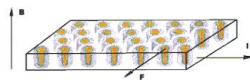
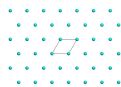
Stability (linearized): Sol u_* stable \iff Hessian $E(u_*) \geq 0$

Theorem (Emergence of inhomogeneous solutions)

(i) The gauge-translationally invariant ground state is stable for $b > \kappa^2$
and unstable for $b < \kappa^2$;

(ii) At $b = \kappa^2$ a new stable solution emerges, which is gauge - invariant
under lattice translations;

(iii) The lattice with the lowest energy per unit area is the hexagonal one.



Lattice-gauge invariance and quantization of magnetic flux

Emerging solutions (Ψ, A) have reduced symmetry: from \mathbb{R}^2 -gauge translations to lattice-gauge ones

$$T_s^{\text{transl}}(\Psi, A) = T_{g_s}^{\text{gauge}}(\Psi, A), \quad \forall s \in \lambda, \quad (6)$$

where λ is a lattice in $\mathbb{R}^2 \simeq \mathbb{C}$. Let ω be a fundamental cell of λ .

Theorem. If (Ψ, A) satisfies (6), then $\forall s, t \in \lambda, x \in \mathbb{R}$, $c(g) := \frac{1}{2\pi}(g_t(x+s) + g_s(x) - g_s(x+t) - g_t(x))$ is an integer &

$$\frac{1}{2\pi} \int_{\omega} \text{curl } A = c(\chi) \in \mathbb{Z}.$$

By comparison, finite energy states (Ψ, A) are classified by the topological degree

$$\text{deg}(\Psi) := \text{deg}(\Psi / \|\Psi\|_{|x|=R}),$$

where $R \gg 1$, and have the **total** magnetic flux quantized:

$$\frac{1}{2\pi} \int_{\mathbb{R}^2} \text{curl } A = \text{deg}(\Psi) \in \mathbb{Z}.$$

Stability of gauge translationally invariant solution 1

Let $u_b := (\Psi = 0, A = A^b)$, where $\text{curl } A^b = b = \text{const}$, the gauge transl. invariant solution.

Defition: u_b is stable $\iff E''(u_b) \geq 0$.

$$\begin{aligned} \text{Compute: } \quad E''(u_b) &= \text{diag}(-\Delta_{A^b} - \kappa^2, \text{curl}^* \text{curl}) \\ \implies \quad E''(u_b) \geq 0 &\iff -\Delta_{A^b} - \kappa^2 \geq 0. \end{aligned} \quad (7)$$

Proposition. We have

$$b = \inf \sigma(-\Delta_{A^b}).$$

Proof. Introduce the complex covariant derivative

$$\bar{\partial}_{A^b} := \frac{1}{2}((\nabla_{A^b})_1 + i(\nabla_{A^b})_2).$$

By the Weitzenböck/(harmonic oscillator) relation,

$$-\Delta_{A^b} - b = (\bar{\partial}_{A^b})^* \bar{\partial}_{A^b} \geq 0.$$

Hence, $-\Delta_{A^b} \geq b$ and

$$b = \inf \sigma(-\Delta_{A^b}) \iff \exists \phi \neq 0 : \bar{\partial}_{A^b} \phi = 0.$$

Now, we solve the eqn $\bar{\partial}_{A^b}\phi = 0$. For this, we find a function f s.t.

$$f^{-1}\bar{\partial}_{A^b}f = \bar{\partial}.$$

We fix the gauge as $A^b(x) = \frac{b}{2}Jx = \frac{b}{2}x^\perp$, where $x^\perp = (-x_2, x_1)$.

Then, we have $A_c^b = i\frac{b}{4}z$. Then solving $f^{-1}\bar{\partial}_{A^b}f = \bar{\partial}$ gives

$f = e^{\frac{b}{4}|z|^2}g(z)$, where g is any holomorphic function. We choose $g = 1$, so so that $f = e^{\frac{b}{4}|z|^2}$ which implies


$$e^{-\frac{b}{4}|z|^2}\bar{\partial}_{A^b}e^{\frac{b}{4}|z|^2} = \bar{\partial}.$$

This immediately proves that $\phi \in \text{Null } \bar{\partial}_{A^b}$ if and only if

$$\xi(z) = e^{\frac{b}{4}|z|^2}\phi(z)$$

satisfies $\bar{\partial}\xi = 0$, i.e. $\xi(z)$ is a holomorphic function.

This shows that the eqn $\bar{\partial}_{A^b}\phi = 0$, and therefore the eqn

$(-\Delta_{A^b} - b)\phi = 0$, has solns of the form $\phi(z) = e^{-\frac{b}{4}|z|^2}\xi(z)$, where $z = x_1 + ix_2$ and ξ is any holomorphic function. 

Stability of gauge translationally invariant solution 2

We have shown that

$$b = \inf \sigma(-\Delta_{Ab}). \quad (8)$$

This implies that

$$\inf \sigma(E''(u_b)) = \inf \sigma(-\Delta_{Ab} - \kappa^2) = b - \kappa^2 \quad (9)$$

Recall that u_b is **stable** $\iff E''(u_b) \geq 0$

$\implies u_b$ is **stable** for $b > \kappa^2$ and stability is lost at $b = \kappa^2$

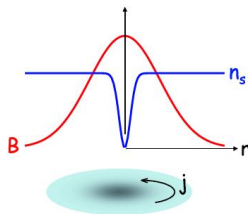
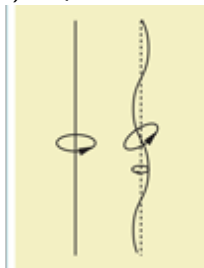
\implies bifurcation of new stable ground states of the GLEs. \square

Shape of Lattice Solutions?

Theorem. For any lattice λ with $|\omega|$ large and any integer n ,
 \exists an Abrikosov lattice solution and, near each vertex $s \in \lambda$,
it looks like the n -vortex centred at s :

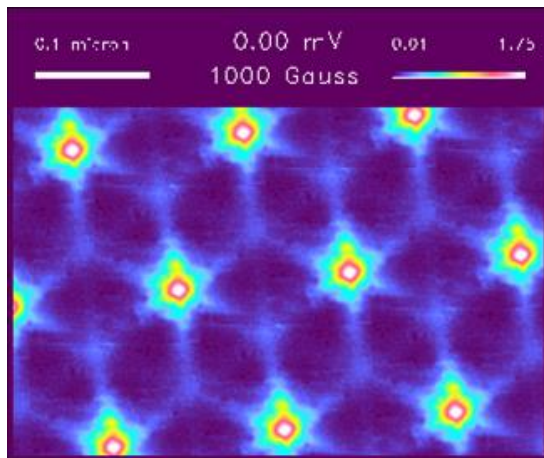
$$\Psi^{(n)}(x - s) = f^{(n)}(r)e^{in\theta} \quad \text{and} \quad A^{(n)}(x - s) = a^{(n)}(r)\nabla(n\theta),$$

where $(r, \theta) =$ polar coordinates of $x - s \in \mathbb{R}^2$.



$(\Psi^{(n)}, A^{(n)})$ is $O(2)$ -equivariant solution of degree n :
the *magnetic n -vortex* (superconductors) (*excitat.* of vortex lattice)
or *Nielsen-Olesen* or *Nambu string* (the particle physics).

Abrikosov Vortex Lattice. Experiment



Time-Dependent Eqns. $U(1)$ Higgs Model

The time-dependent $U(1)$ Higgs model is described by $U(1)$ -Higgs (or Maxwell-Higgs) equations

$$\begin{aligned}(\partial_t + i\Phi)^2 \Psi &= \Delta_A \Psi + \kappa^2(1 - |\Psi|^2)\Psi \\ \partial_t(\partial_t A + \nabla\Phi) &= -\text{curl}^2 A + \text{Im}(\bar{\Psi}\nabla_A \Psi),\end{aligned}$$

which are coupled (covariant) wave equations.

Time-Dependent Eqns. Superconductivity

In the leading approximation the evolution of a superconductor is described by the gradient-flow-type equations

$$\begin{aligned}\gamma(\partial_t + i\Phi)\Psi &= \Delta_A \Psi + \kappa^2(1 - |\Psi|^2)\Psi \\ \sigma(\partial_t A - \nabla\Phi) &= -\text{curl}^2 A + \text{Im}(\bar{\Psi}\nabla_A\Psi),\end{aligned}$$

$\text{Re}\gamma \geq 0$, the *time-dependent Ginzburg-Landau equations* or the *Gorkov-Eliashberg-Schmidt equations*. (Earlier versions: Bardeen and Stephen and Anderson, Luttinger and Werthamer.)

The last equation comes from two Maxwell equations, with $-\partial_t E$ neglected, (Ampère's and Gauss' laws) and the relations $J = J_s + J_n$, where $J_s = \text{Im}(\bar{\Psi}\nabla_A\Psi)$, and $J_n = \sigma E$.

Problems (a) WP, (b) stability of vortex lattices and magn. vortices

Stability Definition

Let u_* be a static solution to GLE (Abrikosov vortex lattice, or magnetic vortex). Define the manifold of static solutions

$$\mathcal{M} = \{T_g u_* : g \in G\},$$

where G is (a subgroup of) the symmetry group of GLE,

$$G_{\text{sym}} = H^2(\mathbb{R}^2; \mathbb{R}) \rtimes \mathbb{R}^2 \rtimes SO(2),$$

with $H^2(\mathbb{R}^2; \mathbb{R})$ being the group of the *gauge transformations*, and T_g is the action of G_{sym} on $u = (\Psi, A)$.

Stability/instability: u_* is said to be asympt. stable (unstable), if starting close to \mathcal{M} (in a specified metric (H^1)), solution u_t approaches (departs from) \mathcal{M} .

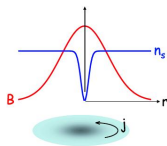
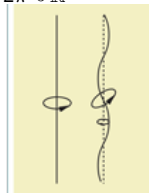
Stability/Instability of Vortices

Recall, the *magnetic n -vortices* are “radially symmetric” (more precisely, *equivariant*) solutions of degree n ,

$$\Psi^{(n)}(x) = f^{(n)}(r)e^{in\theta} \quad \text{and} \quad A^{(n)}(x) = a^{(n)}(r)\nabla(n\theta),$$

where $n = \text{integer}$ and $(r, \theta) = \text{polar coordinates of } x \in \mathbb{R}^2$.

$$\frac{1}{2\pi} \int_{\mathbb{R}^2} \text{curl } A^{(n)} = \text{deg}(\Psi^{(n)}) = n \in \mathbb{Z}.$$



Theorem

1. For $\kappa < 1/2$ (Type I superconductors), all vortices are stable.
2. For $\kappa > 1/\sqrt{2}$ (Type II superconductors), the ± 1 -vortices are stable, while the n -vortices with $|n| \geq 2$, are not.

Ginzburg-Landau equations on surfaces

As a model describing superconducting thin membranes or quantum engine, consider the GLE on Riemann surfaces
(= two-dimensional real, orientable and metrizable surfaces).

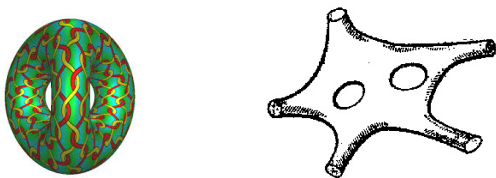


Figure: Compact and non-compact Riemann surfaces.

If the magnetic field $\neq 0$, then the GLE is defined on line bundles over Riemann surfaces:

$$\Delta_A \Psi = \kappa^2 (|\Psi|^2 - 1) \Psi, \quad (10a)$$

$$d^* dA = \text{Im}(\bar{\Psi} \nabla_A \Psi). \quad (10b)$$

Here Ψ is a *section* and A , a *connection* one-form on a $U(1)$ line bundle L over X , ∇_A and ∇_A^* are the *covariant derivative* and its adjoint, $\Delta_A = \nabla_A^* \nabla_A$, and d and d^* are the *exterior derivative* and its adjoint, which replace curl and curl*.

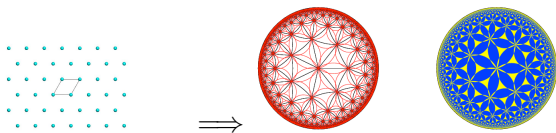
Non-Abelian vortex lattices

Vortex lattice solutions: from Abelian to non-Abelian lattices.

Lattice λ is an Abelian, discrete group of isometries of $\mathbb{R}^2 \simeq \mathbb{C}$ acting freely on \mathbb{C} by translations \implies a non-commutative lattice: a discrete group Γ of isometries of the Poincaré half-plane

$\mathbb{H} := \{z \in \mathbb{C} : \text{Im } z > 0\}$, with metric $|dz|^2 / (\text{Im } z)^2$, acting freely on \mathbb{H} by Möbius transforms (Fuchsian group),

$$\gamma z = \frac{az + b}{cz + d} \quad \left(\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subset SL(2, \mathbb{R}) \right) \quad (11)$$



Tiling of the Poincaré disc $\simeq \mathbb{H}$ with equilateral triangles

\implies Consider the GLE on \mathbb{H} and look for solutions gauge-periodic (equivariant) w.r.to Γ

Lattices and Riemann surfaces

By the key uniformization theorem for Riemann surfaces, a Riemann surface X of genus 1 is torus and can be given as

$$\mathbb{T} = \mathbb{C}/\lambda,$$

where λ is a standard lattice, and of genus ≥ 2 can be given by

$$X = \mathbb{H}/\Gamma,$$

where \mathbb{H} is the Poincaré half-plane and Γ , a Fuchsian group.

Γ -equivariant functions and vector fields on $\mathbb{H} \iff$ *sections* and *connections* of the line bundle over the Riemann surface $X = \mathbb{H}/\Gamma$.

For the GLE on an arbitrary RS, X , normal solutions are $(0, A^b)$, with A^b a constant curvature connection. At $b = b_*$, the state $(0, A^b)$ *loses stability* and a new *non-constant curvature, energy minim. soln emerges*.

Summary, Discussion and References

We discussed :

- ▶ the Ginzburg-Landau equations, playing a key role in the condensed matter and particle physics.
- ▶ results on symmetry breaking and emergence of non-transl. invariant ground states which form [vortex lattices](#).
- ▶ an extension of the existence results to [general Riemann surfaces](#) (replacing the Abelian lattices \mathcal{L} acting on \mathbb{C} by the non-Abelian ones Γ (Fuchsian groups) acting on \mathbb{H}).

Extensions. GLE is the simplest ($U(1)$) gauge field theory. Hence, it is natural to extend it to $U(m)$, $m > 1$ gauge theory - the Yang-Mills-Higgs theory, the bedrock of the particle physics.

Review: I.M. Sigal, Differential equations of quantum mechanics. Quarterly of Applied Mathematics 80 451-480 (2022).

Thank-you for your attention